

Geometric constructions for sparse integer signal recovery

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Sensing matrices

An $n \times d$ real matrix A is said to be a **sensing matrix for ℓ -sparse signals**, $1 \leq \ell \leq n$, if for every nonzero vector $\mathbf{x} \in \mathbb{R}^d$ with no more than ℓ nonzero coordinates, $A\mathbf{x} \neq \mathbf{0}$.

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We will specifically be interested in integer sensing matrices. The goal is to have d as large as possible with respect to n while

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We will specifically be interested in integer sensing matrices. The goal is to have d as large as possible with respect to n while

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is small. If we have such a matrix A and two vectors \mathbf{x} and \mathbf{y} with no more than $\ell/2$ nonzero coordinates each, then it is easy to see that $A\mathbf{x} = A\mathbf{y}$ if and only if $\mathbf{x} = \mathbf{y}$.

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$$A\mathbf{x} \neq \mathbf{0} \implies \|A\mathbf{x}\| \geq 1,$$

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which allows for robust error correction. Hence we have the following optimization problem:

Problem 1

Construct an $n \times d$ integer sensing matrix A for ℓ -sparse signals such that:

1. *$|A|$ is small,*
2. *d is large compared to n ,*
3. *$\ell \leq n$ is as large as possible.*

Existence results – I

Theorem 1 (F., Needell, Sudakov – 2017)

For all sufficiently large n there exist $n \times d$ integer sensing matrices A for n -sparse signals with

$$|A| = 1 \text{ and } d = 1.2938 n.$$

More generally, there exist such matrices with

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We outline our proof of this theorem, which is probabilistic in nature.

Proof of Theorem 1

First we need to prove the existence of an $n \times d$ matrix A with

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We use a powerful result of Bourgain, Vu and Wood (2010):

Let M_n be an $n \times n$ random matrix whose entries are 0 with probability $1/2$ and ± 1 with probability $1/4$ each. Then the probability that matrix M_n is singular is at most $(1/2 - o(1))^n$.

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Form an $n \times d$ random matrix A by taking its entries to be 0 with probability $1/2$ and ± 1 with probability $1/4$ each. Then $|A| = 1$ and any n columns of A form a matrix distributed according to M_n .

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Therefore the probability that any $n \times n$ submatrix of A is singular is at most

$$(1/2 - o(1))^n.$$

Since the number of such submatrices is $\binom{d}{n}$ we have (by union bound) that the probability that A contains an $n \times n$ singular submatrix is at most

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Bounding $\binom{d}{n}$ we see that this probability is < 1 for $d \leq 1.2938 n$, and hence an $n \times d$ matrix A with $|A| = 1$ and all $n \times n$ submatrices nonsingular exists.

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We use another result of Bourgain, Vu and Wood (2010) from the same paper:

If N_n is an $n \times n$ random matrix whose entries come from the set $\{-k, \dots, k\}$ with equal probability, then the probability that N_n is singular is at most $(1/\sqrt{2k} - o(1))^n$.

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Consider an $n \times d$ random matrix A whose entries come from the set $\{-k, \dots, k\}$ with equal probability. Then $|A| \leq k$ and the probability that any $n \times n$ submatrix of A is singular is at most

$$(1/\sqrt{2k} - o(1))^n.$$

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Since the number of such submatrices is $\binom{d}{n}$ we have that the probability that A contains an $n \times n$ singular submatrix is at most

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Thus with positive probability A does not have singular $n \times n$ submatrices.

How much bigger than n can d be?

Theorem 2 (F., Needell, Sudakov – 2017)

For any integers $n \geq 3$, $k \geq 1$ and $n \times d$ integer sensing matrix A for n -sparse signals with $|A| = k$, we must have

$$d \leq (2k^2 + 2)(n - 1) + 1.$$

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Konyagin and Sudakov give a deterministic construction of such matrices with

$$d \geq \frac{k^{\frac{n}{n-1}}}{2} > n.$$

Question and example

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Here is a low-dimensional example.

Example 1

Let $n = 3$, $d = 6$, $k = 1$, and define a 3×6 matrix

$$A = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & -1 & -1 \\ 1 & 0 & 1 & -1 & 0 & -1 \end{pmatrix}.$$

This matrix has $|A| = 1$ and any three of its columns are linearly independent.

Existence results – II

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Theorem 4 (F., Hsu – 2019)

For all sufficiently large n , there exist $n \times d$ integer sensing matrices A for ℓ -sparse signals, $1 \leq \ell \leq n - 1$, such that $|A| = 2$ and

$$d \geq \left(\frac{n+2}{2} \right)^{1 + \frac{2}{3\ell-2}}.$$

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Our construction of sensing matrices is based on a particular geometric covering problem, which is what we discuss next.

Covering by parallel hyperplanes

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It is not difficult to notice that S can always be covered by no more than $\max\{1, k - n + 1\}$ parallel hyperplanes.

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Does there exist a set of $k \geq n$ points in \mathbb{R}^n that cannot be covered by fewer than $k - n + 1$ parallel hyperplanes?

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We are specifically interested in this question for sets on the integer lattice grid.

Covering by parallel hyperplanes

Let $T \geq 1$ be an integer and let

$$C_n(T) := \{\mathbf{x} \in \mathbb{Z}^n : |\mathbf{x}| \leq T\}$$

be the integer cube of sidelength $2T$ centered at the origin in \mathbb{R}^n .

Let S be a subset of $C_n(T)$.

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Lemma 5

Let $S \subseteq C_n(T)$ be a set of points of cardinality k .

- 1. If no fewer than $k - n + 1$ parallel hyperplanes can cover S , then $k \leq 2T + n$.*
- 2. If $2T + 1$ parallel hyperplanes are required to cover S , then $k \geq 2T + n$.*

Extremal construction

Question 4

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We can answer this question in the affirmative for $T = 1$:

Proposition 6

For each $n \geq 1$ there exist sets $S_n \subset C_n(1)$ of cardinality $n + 2$ which cannot be covered by fewer than 3 parallel hyperplanes.

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Example 2

$$S_n = \left\{ \mathbf{0}, \mathbf{e}_1, \dots, \mathbf{e}_n, -\sum_{i=1}^n \mathbf{e}_i \right\} \subset \mathbb{R}^n.$$

Extremal construction

Example 3

$$S_n = \left\{ \sum_{j=1}^n \mathbf{e}_j, \sum_{j=n-i+2}^n \mathbf{e}_j - \mathbf{e}_{n-i+1} \quad \forall 1 \leq i \leq n \right\} \subset \mathbb{R}^n.$$

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More generally, one can take any set in \mathbb{R}^n consisting of the origin together with the $n+1$ vertices of any simplex containing the origin in its interior – this will not necessarily be in the $C_n(1)$ grid, but it has the same extremal covering property.

Back to sensing matrices

The extremal sets constructed above can be used to obtain basic sensing matrices as follows.

Proposition 7

Let $k > n$ and $\mathbf{x}_1, \dots, \mathbf{x}_{k-1} \in \mathbb{R}^n$ be distinct nonzero vectors. Let

$$S = \{\mathbf{0}, \mathbf{x}_1, \dots, \mathbf{x}_{k-1}\} \subset \mathbb{R}^n.$$

Let A be the $n \times (k-1)$ matrix, whose columns are these vectors, i.e.

$$A = (\mathbf{x}_1 \quad \dots \quad \mathbf{x}_{k-1}).$$

If S cannot be covered by fewer than $k - n + 1$ parallel hyperplanes, then A is a sensing matrix for n -sparse signals.

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We can do a lot better with some more work.

Difference sets

Define a partition of $S = \{\mathbf{x}_1, \dots, \mathbf{x}_k\} \subset \mathbb{R}^n$ into disjoint subsets

$$I_m = \{\mathbf{x}_{i_1}, \dots, \mathbf{x}_{i_m}\}, J_l = \{\mathbf{x}_{j_1}, \dots, \mathbf{x}_{j_l}\} = S \setminus I_m,$$

where $m, l \geq 1$ are such that $k = m + l$.

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where $m, l \geq 1$ are such that $k = m + l$.

Define the corresponding set of pairwise difference vectors

$$\mathcal{D}(I_m, J_l) = \{\mathbf{x}_i - \mathbf{x}_j : \mathbf{x}_i \in I_m, \mathbf{x}_j \in J_l\},$$

so $|\mathcal{D}(I_m, J_l)| \leq ml = m(k - m)$.

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For a subset $D \subseteq \mathcal{D}(I_m, J_l)$ define **support** of D to be the set of all distinct vectors \mathbf{x}_i that appear in the differences in D .

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For a subset $D \subseteq \mathcal{D}(I_m, J_l)$ define **support** of D to be the set of all distinct vectors \mathbf{x}_i that appear in the differences in D . For instance, the support of the difference set

$$\{\mathbf{x}_1 - \mathbf{x}_2, \mathbf{x}_3 - \mathbf{x}_2, \mathbf{x}_1 - \mathbf{x}_4, \mathbf{x}_3 - \mathbf{x}_4\}$$

is $\{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3, \mathbf{x}_4\}$.

Bipartite graphs

Define a bipartite graph $\Gamma(D)$ with vertices corresponding to the support of D . Two vertices $\mathbf{x}_i, \mathbf{x}_j$ are then connected by an edge if and only if $\mathbf{x}_i - \mathbf{x}_j \in D$.

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In other words D is the set of edges of $\Gamma(D)$. We write $g(D)$ for the minimal length of a cycle in the graph $\Gamma(D)$ called the **girth** of this graph.

We write $A(D)$ for the matrix whose column vectors are elements of the set D .

Better sensing matrices

Theorem 8 (F., Hsu – 2019)

Let $S = \{\mathbf{x}_1, \dots, \mathbf{x}_k\} \subset \mathbb{R}^n$ be a collection of $k > n$ points, $m, l \geq 1$ integers such that $k = m + l$, $S = I_m \sqcup J_l$ partition of S , and $D \subseteq \mathcal{D}(I_m, J_l)$. Let $1 \leq \ell \leq n - 1$. The following two statements are true:

1. If S cannot be covered by fewer than $k - n + 1$ parallel hyperplanes and for every subset D' of ℓ vectors of D , $g(D') > \ell$, then $A(D)$ is a sensing matrix for ℓ -sparse vectors.
2. If for every $m + l = k$ and partition $S = I_m \sqcup J_l$, $A(D(I_m, J_l))$ is a sensing matrix for n -sparse vectors, then S cannot be covered by fewer than $k - n + 1$ parallel hyperplanes.

Proof of Theorem 4

Results of Labeznik, Ustimenko and Woldar (1995) provide a deterministic construction of bipartite graphs of girth $\geq \ell + 1$ on k vertices and the number of edges

$$\geq \left(\frac{k}{2}\right)^{1+\frac{2}{3\ell-2}}. \quad (1)$$

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Let S_n be the set of $n+2$ vectors with $\{0, \pm 1\}$ coordinates obtained in Proposition 6, hence S_n cannot be covered by $(n+2) - n + 1 = 3$ parallel hyperplanes.

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Let D be the set of difference vectors corresponding to the edges of Γ , then $g(D) > \ell$.

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Furthermore, $A(D)$ is an $n \times d$ integer matrix where

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Notice that if $\ell = o(\log n)$, then $d/n \rightarrow \infty$ as $n \rightarrow \infty$, meaning that d is bigger than linear in n .

Example

Example 1

Consider the set S_3 as given in Example 3. Partitioning it into the first three vectors and the remaining two, compute the difference set D corresponding to the complete $(3, 2)$ -bipartite graph Γ .

Then

$$A(D) = \begin{pmatrix} 1 & -1 & 1 & -1 & 1 & -1 \\ -1 & -1 & -1 & -1 & -2 & -2 \\ -1 & -1 & -2 & -2 & 0 & 0 \end{pmatrix}$$

is a 3×6 sensing matrix for 3-sparse vectors, since Γ does not have any 3-cycles.

Tarski planks

We also discuss a curious connection of our covering construction to a simple version of Tarski's plank problem.

Tarski planks

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The classical conjecture of Tarski (1932), proved by Bang (1951) asserts that if a finite collection of planks P_1, \dots, P_k covers M then

$$\sum_{i=1}^k h(P_i) \geq w(M).$$

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Proposition 9

Let M be a compact convex set of width w in \mathbb{R}^n and let $\mathbf{x}_1, \dots, \mathbf{x}_k$ be points in M . Let S be a collection of all planks P in \mathbb{R}^n with both bounding hyperplanes intersecting M so that the interior of P does not contain any point \mathbf{x}_i . For each such plank write $h(P)$ for its width, and define $H := \sup_{P \in S} h(P)$. Then

$$H \geq \begin{cases} \frac{w}{k-n+2} & \text{if } k \geq n \\ \frac{w}{2} & \text{if } k < n. \end{cases} \quad (2)$$

Tarski planks

The bound of Proposition 9 is optimal. Take, for instance, $n = 2$ and let M be an equilateral triangle with height equal to 2, then $w(M) = 2$. Let $k = 3$ and $\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3 \in M$ be vertices of a scaled-down equilateral triangle $M' = \frac{1}{3}M$ with height equal to $2/3$ centered at the center of M .

Tarski planks

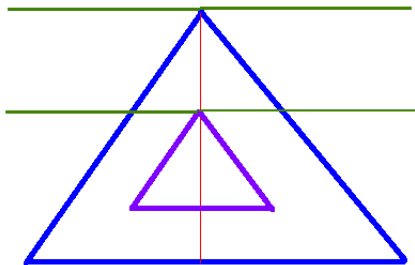
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The set of planks S as in the statement of Proposition 9 contains a plank P whose one boundary line passes through vertices $\mathbf{x}_1, \mathbf{x}_2$ and the other through \mathbf{x}_3 . Then

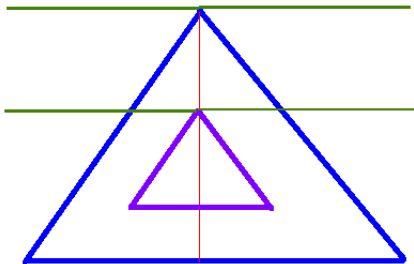
$$h(P) = w(M') = \frac{2}{3} = \frac{w(M)}{k - n + 2},$$

which is precisely the lower bound of the proposition. Notice that no plank in S can have width greater than $h(P)$, and thus the lower bound of (2) when $k \geq n$ is achieved.

Tarski planks



Tarski planks

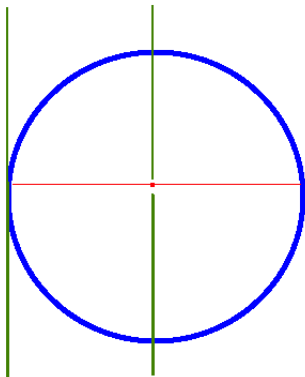


In the situation $k < n$, we can take $n = 2$ again, M a unit disk, $k = 1$ and $\mathbf{x}_1 =$ center of M . Then a plank P bounded by a line through \mathbf{x}_1 and a parallel line tangent to the boundary circle of M has maximal possible width of all planks in S , and

$$h(P) = 1 = \frac{w(M)}{2},$$

the lower bound of (2) in this case.

Tarski planks



References

L. Fukshansky, D. Needell, B. Sudakov, *An algebraic perspective on integer sparse recovery*, Applied Mathematics and Computation, vol. 340 (2019), pg. 31–42

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Thank you!