

# ON EFFECTIVE WITT DECOMPOSITION AND CARTAN-DIEUDONNÉ THEOREM

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ABSTRACT. Let  $K$  be a number field, and let  $F$  be a symmetric bilinear form in  $2N$  variables over  $K$ . Let  $Z$  be a subspace of  $K^N$ . A classical theorem of Witt states that the bilinear space  $(Z, F)$  can be decomposed into an orthogonal sum of hyperbolic planes, singular, and anisotropic components. We prove the existence of such a decomposition of small height, where all bounds on height are explicit in terms of heights of  $F$  and  $Z$ . We also prove a special version of Siegel's Lemma for a bilinear space, which provides a small-height orthogonal decomposition into one-dimensional subspaces. Finally, we prove an effective version of Cartan-Dieudonné theorem. Namely, we show that every isometry  $\sigma$  of a regular bilinear space  $(Z, F)$  can be represented as a product of reflections of bounded heights with an explicit bound on heights in terms of heights of  $F$ ,  $Z$ , and  $\sigma$ .

## 1. INTRODUCTION AND NOTATION

Let  $K$  be a number field,  $N > 1$  an integer. Let

$$F(\mathbf{X}, \mathbf{Y}) = \sum_{i=1}^N \sum_{j=1}^N f_{ij} X_i Y_j,$$

be a symmetric bilinear form in  $2N$  variables with coefficients  $f_{ij} = f_{ji}$  in  $K$ . We will write  $F(\mathbf{X}) = F(\mathbf{X}, \mathbf{X})$  for the associated quadratic form in  $N$  variables, and will also use  $F$  to denote the symmetric  $N \times N$  matrix  $(f_{ij})_{1 \leq i, j \leq N}$ . Let  $Z \subseteq K^N$  be an  $L$ -dimensional subspace,  $2 \leq L \leq N$ , then  $F$  is also defined on  $Z$ , and we write  $(Z, F)$  for the bilinear space. Let  $M$  be the Witt index of  $(Z, F)$ . With this basic notation we can recall the classical Witt decomposition theorem. We give a brief overview of required definitions and basic results on bilinear spaces in section 3.

**Theorem 1.1.** *Suppose that  $(Z, F)$  is a bilinear space as above. Then there exists an orthogonal decomposition of  $(Z, F)$  of the form*

$$(1) \quad Z = Z^\perp \perp \mathbb{H}_1 \perp \dots \perp \mathbb{H}_M \perp V,$$

where  $Z^\perp = \{\mathbf{x} \in Z : F(\mathbf{x}, \mathbf{z}) = 0 \ \forall \ \mathbf{z} \in Z\}$  is the singular component,  $\mathbb{H}_i$  are hyperbolic planes, and  $V$  is anisotropic component, which is uniquely determined up to isometry.

Theorem 1.1 can easily be obtained by combining Theorem 3.8 on p. 9 with Corollary 5.11 on p.17 of [10]. The first objective of this paper is to make this theorem effective, namely to prove that there exists a decomposition like (1) with hyperbolic planes, singular, and anisotropic components having relatively small

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height for an appropriately defined notion of height. By Northcott's theorem, there are only finitely many subspaces of fixed dimension over  $K$  whose height is bounded above by a given constant. Hence our result produces a "search bound" on components of Witt decomposition for a bilinear space (see [7] for a discussion of search bounds). This result is also related to the vast collection of results on small-height zeros of quadratic forms. The subject originates in a classical paper of Cassels, [2], where he proved that an isotropic rational quadratic form has a zero of relatively small height, producing an explicit bound on height in terms of the height of the quadratic form. Cassels' theorem has been extended and generalized in a number of different ways (see [13], [7] and [3] for more information on this). Our first main result can also be viewed in the context of those results; we will discuss this approach in more details in section 3.

Another direction we pursue here is investigation of the effective structure of the isometry group of a regular symmetric bilinear space  $(Z, F)$  over  $K$ . In [7] Masser proposes a version of the following question. Let  $F$  and  $G$  be two symmetric bilinear forms on  $K^N$  such that there exists  $A \in GL_N(K)$  with  $F(A\mathbf{X}, A\mathbf{Y}) = G(\mathbf{X}, \mathbf{Y})$ . Can we prove that there exists such an  $A$  of bounded height, where the bound would be in terms of heights of  $F$  and  $G$ ? In our context  $F = G$ , and so we can ask for an element of bounded height in the isometry group of the space  $(Z, F)$ . This question is quite easy to answer (see Corollary 5.3 below), however one can consider the following generalization. Let  $\mathcal{O}(Z, F)$  be the group of isometries of  $(Z, F)$ . We recall a classical theorem of Cartan and Dieudonné (see Theorem 5.4 on p. 15 of [10] or Theorem 43:3 on p.102 of [8]). We review the required definitions in section 5.

**Theorem 1.2.** *Let  $(Z, F)$  be a regular symmetric bilinear space over  $K$  with  $Z \subseteq K^N$  of dimension  $L$ ,  $1 \leq L \leq N$ . Let  $\sigma \in \mathcal{O}(Z, F)$ . Then  $\sigma$  can be represented as a product of at most  $L$  reflections.*

The identity element of  $\mathcal{O}(Z, F)$  is thought of here as the product of zero reflections. We will be interested in proving a slightly weaker effective version of this theorem, namely given a  $\sigma \in \mathcal{O}(Z, F)$  we will prove that it can be represented as a product of at most  $2L - 1$  reflections of bounded height, where the bound on height is in terms of heights of  $F$ ,  $Z$ , and  $\sigma$ .

We start with some notation. We write  $d$  for degree of  $K$  over  $\mathbb{Q}$ ,  $O_K$  for its ring of integers,  $\mathcal{D}_K$  for its discriminant, and  $M(K)$  for its set of places. For each place  $v \in M(K)$  we write  $K_v$  for the completion of  $K$  at  $v$  and let  $d_v = [K_v : \mathbb{Q}_v]$  be the local degree of  $K$  at  $v$ , so that for each  $u \in M(\mathbb{Q})$

$$(2) \quad \sum_{v \in M(K), v|u} d_v = d.$$

For each place  $v \in M(K)$  we define the absolute value  $\|\cdot\|_v$  to be the unique absolute value on  $K_v$  that extends either the usual absolute value on  $\mathbb{R}$  or  $\mathbb{C}$  if  $v|\infty$ , or the usual  $p$ -adic absolute value on  $\mathbb{Q}_p$  if  $v|p$ , where  $p$  is a prime. We also define the second absolute value  $|\cdot|_v$  for each place  $v$  by  $|a|_v = \|a\|_v^{d_v/d}$  for all  $a \in K$ . Then for each non-zero  $a \in K$  the *product formula* reads

$$(3) \quad \prod_{v \in M(K)} |a|_v = 1.$$

For each finite place  $v \in M(K)$ ,  $v \nmid \infty$ , we define the *local ring of  $v$ -adic integers*  $O_v = \{x \in K : |x|_v \leq 1\}$ , whose unique maximal ideal is  $P_v = \{x \in K : |x|_v < 1\}$ . Then  $O_K = \bigcap_{v \nmid \infty} O_v$ . For each  $v \mid \infty$  and each positive integer  $j$ , define as in [13]

$$r_v(j) = \begin{cases} \pi^{-1/2} \Gamma(j/2 + 1)^{1/j} & \text{if } v \mid \infty \text{ is real} \\ (2\pi)^{-1/2} \Gamma(j + 1)^{1/2j} & \text{if } v \mid \infty \text{ is complex} \end{cases}$$

It will be useful to define a field constant

$$(4) \quad C_K(j) = 2|\mathcal{D}_K|^{1/2d} \prod_{v \mid \infty} r_v(j)^{d_v/d},$$

We extend absolute values to vectors by defining the local heights. For each  $v \in M(K)$  define a local height  $H_v$  on  $K_v^N$  by

$$H_v(\mathbf{x}) = \begin{cases} \max_{1 \leq i \leq N} |x_i|_v & \text{if } v \nmid \infty \\ \left( \sum_{i=1}^N \|x_i\|_v^2 \right)^{d_v/2d} & \text{if } v \mid \infty \end{cases}$$

for each  $\mathbf{x} \in K_v^N$ . We define the following global height function on  $K^N$ :

$$(5) \quad H(\mathbf{x}) = \prod_{v \in M(K)} H_v(\mathbf{x}),$$

for each  $\mathbf{x} \in K^N$ . We also define an *inhomogeneous* height function on vectors by

$$(6) \quad h(\mathbf{x}) = H(1, \mathbf{x}).$$

A basic property of heights that we will use states that for  $m_1, \dots, m_L \in \mathbb{Z}$  and  $\mathbf{x}_1, \dots, \mathbf{x}_L \in K^N$ ,

$$(7) \quad h\left(\sum_{i=1}^L m_i \mathbf{x}_i\right) \leq \left(\sum_{i=1}^L m_i^2\right)^{1/2} \prod_{i=1}^L h(\mathbf{x}_i).$$

We extend height to polynomials by viewing it as height function of the coefficient vector of a given polynomial. Hence for our quadratic form  $F$ ,  $H(F)$  is the height of the matrix  $(f_{ij})_{1 \leq i, j \leq N}$  viewed as a vector in  $K^{N^2}$ . In general, for an  $M \times N$  matrix  $A$  we define  $H(A)$  by viewing  $A$  as a vector in  $K^{MN}$ , same way as we defined height of  $F$ . This way we also have height defined on elements of the isometry group  $\mathcal{O}(K^N, F)$ , since they can be represented by  $N \times N$  matrices, and each such matrix can be viewed as a vector in  $K^{N^2}$ . For each element  $\sigma$  of the isometry group  $\mathcal{O}(Z, F)$  of a regular bilinear space we will select an extension  $\hat{\sigma} \in \mathcal{O}(K^N, F)$  of minimal possible height, and will define  $H(\sigma)$  to be  $H(\hat{\sigma})$ . We will explain how this is done in more details in section 5.

We also define another height on matrices, which is the same as height function on subspaces of  $K^N$ . Let  $V \subseteq K^N$  be a subspace of dimension  $J$ ,  $1 \leq J \leq N$ . Choose a basis  $\mathbf{x}_1, \dots, \mathbf{x}_J$  for  $V$ , and write  $X = (\mathbf{x}_1 \dots \mathbf{x}_J)$  for the corresponding  $N \times J$  basis matrix. Then

$$V = \{X\mathbf{t} : \mathbf{t} \in K^J\}.$$

On the other hand, there exists an  $(N - J) \times N$  matrix  $A$  with entries in  $K$  such that

$$V = \{\mathbf{x} \in K^N : A\mathbf{x} = 0\}.$$

Let  $\mathcal{I}$  be the collection of all subsets  $I$  of  $\{1, \dots, N\}$  of cardinality  $J$ . For each  $I \in \mathcal{I}$  let  $I'$  be its complement, i.e.  $I' = \{1, \dots, N\} \setminus I$ , and let  $\mathcal{I}' = \{I' : I \in \mathcal{I}\}$ . Then

$$|\mathcal{I}| = \binom{N}{J} = \binom{N}{N-J} = |\mathcal{I}'|.$$

For each  $I \in \mathcal{I}$ , write  $X_I$  for the  $J \times J$  submatrix of  $X$  consisting of all those rows of  $X$  which are indexed by  $I$ , and  ${}_{I'}A$  for the  $(N-J) \times (N-J)$  submatrix of  $A$  consisting of all those columns of  $A$  which are indexed by  $I'$ . By the duality principle of Brill-Gordan [4] (also see Theorem 1 on p. 294 of [5]), there exists a non-zero constant  $\gamma \in K$  such that

$$(8) \quad \det(X_I) = (-1)^{\varepsilon(I)} \gamma \det({}_{I'}A),$$

where  $\varepsilon(I) = \sum_{i \in I} i$ . Define the vectors of *Grassmann coordinates* of  $X$  and  $A$  respectively to be

$$Gr(X) = (\det(X_I))_{I \in \mathcal{I}} \in K^{|\mathcal{I}|}, \quad Gr(A) = (\det({}_{I'}A))_{I' \in \mathcal{I}'} \in K^{|\mathcal{I}'|}.$$

Define

$$\mathcal{H}(X) = H(Gr(X)), \quad \mathcal{H}(A) = H(Gr(A)),$$

and so by (8) and (3)

$$\mathcal{H}(X) = \mathcal{H}(A).$$

Define height of  $V$  denoted by  $H(V)$  to be this common value. Hence the height of a matrix is the height of its row (or column) space, which is equal to the height of its nullspace. Also notice that  $Gr(X)$  can be identified with  $\mathbf{x}_1 \wedge \dots \wedge \mathbf{x}_J$ , where  $\wedge$  stands for the wedge product, viewed under the canonical lexicographic embedding into  $K^{\binom{N}{J}}$ . Therefore we can also write

$$H(V) = H(\mathbf{x}_1 \wedge \dots \wedge \mathbf{x}_J).$$

This definition is legitimate, since it does not depend on the choice of the basis for  $V$ : let  $\mathbf{y}_1, \dots, \mathbf{y}_J$  be another basis for  $V$  over  $K$ , then there exists  $C \in GL_N(K)$  such that  $\mathbf{y}_i = C\mathbf{x}_i$  for each  $1 \leq i \leq J$ , and so

$$\begin{aligned} H(\mathbf{y}_1 \wedge \dots \wedge \mathbf{y}_J) &= H(C\mathbf{x}_1 \wedge \dots \wedge C\mathbf{x}_J) \\ &= \left( \prod_{v \in M(K)} |\det(C)|_v \right) H(\mathbf{x}_1 \wedge \dots \wedge \mathbf{x}_J) \\ &= H(\mathbf{x}_1 \wedge \dots \wedge \mathbf{x}_J), \end{aligned}$$

by the product formula. We are now ready to state our main results. First is an effective version of Witt's decomposition Theorem 1.1.

**Theorem 1.3.** *Let  $F$  be a symmetric bilinear form on  $K^N$ . Let  $Z \subseteq K^N$  be a subspace of dimension  $L$ ,  $2 \leq L \leq N$ , and Witt index  $M \geq 1$ . Let  $F$  have rank  $r$  on  $Z$ ,  $1 \leq r \leq L$ . There exists an orthogonal decomposition of the bilinear space  $(Z, F)$  of the form (1) with*

$$(9) \quad H(Z^\perp) \leq C_K(r)^r H(F)^{r/2} H(Z),$$

and

$$(10) \quad \max\{H(\mathbb{H}_i), H(V)\} \leq \mathcal{A}_K(N, L, M) \left\{ H(F)^{\frac{L+2M}{4}} H(Z) \right\}^{\frac{(M+1)(M+2)}{2}},$$

for each  $1 \leq i \leq M$ , where

$$(11) \quad \mathcal{A}_K(N, L, M) = \left\{ (2^{2M+1} C_K(L)^2)^L \left( N |\mathcal{D}_K|^{1/d} \right)^{M+5L} \right\}^{\frac{M(M+3)}{8}}.$$

Next is an effective version of Cartan-Dieudonné Theorem 1.2.

**Theorem 1.4.** *Let  $(Z, F)$  be a regular symmetric bilinear space over  $K$  with  $Z \subseteq K^N$  of dimension  $L$ ,  $1 \leq L \leq N$ ,  $N \geq 2$ . Let  $\sigma \in \mathcal{O}(Z, F)$ . Then either  $\sigma$  is the identity, or there exist an integer  $1 \leq l \leq 2L - 1$  and reflections  $\tau_1, \dots, \tau_l \in \mathcal{O}(Z, F)$  such that*

$$(12) \quad \sigma = \tau_1 \circ \dots \circ \tau_l,$$

and for each  $1 \leq i \leq l$ ,

$$(13) \quad H(\tau_i) \leq \left\{ \left( 2N^2 |\mathcal{D}_K|^{\frac{1}{2d}} \right)^{\frac{L^2}{2}} H(F)^{\frac{L}{3}} H(Z)^{\frac{L}{2}} H(\sigma) \right\}^{5^{L-1}}.$$

This paper is structured as follows. In section 2 we discuss a related problem of producing an orthogonal basis of small height for a bilinear space. This can actually be viewed as a version of Siegel's Lemma for a bilinear space, and provides a decomposition of a bilinear space into an orthogonal sum of one-dimensional subspaces of small height - a result of independent interest. In section 3 we recall some basic lemmas on the properties of bilinear spaces, review a result of Vaaler on a maximal totally isotropic subspace of a bilinear space of small height, and prove an effective decomposition lemma for a bilinear space into a singular and regular components of small height. In section 4 we prove Theorem 1.3. In section 5 we develop some notation and preliminary lemmas on the effective structure of the isometry group. In particular, we prove two simple lemmas of independent interest: one on the existence of a small-height isometry of a bilinear space, and the other on the bound for the height of the invariant subspace of an isometry. We use these lemmas in section 6 to prove Theorem 1.4.

## 2. SIEGEL'S LEMMA FOR A BILINEAR SPACE

In this section we prove a certain analogue of Siegel's Lemma for a bilinear space. First we recall the Bombieri-Vaaler formulation of a general Siegel's Lemma.

**Theorem 2.1** ([1]). *Let  $U$  be a  $J$ -dimensional subspace of  $K^N$ ,  $J < N$ . Then there exists a basis  $\mathbf{x}_1, \dots, \mathbf{x}_J \in K^N$  for  $U$  such that*

$$(14) \quad \prod_{i=1}^J H(\mathbf{x}_i) \leq \prod_{i=1}^J h(\mathbf{x}_i) \leq \left\{ N |\mathcal{D}_K|^{1/d} \right\}^{J/2} H(U).$$

We will also need the following simple technical lemmas.

**Lemma 2.2.** *Let  $U_1$  and  $U_2$  be subspaces of  $K^N$ . Then*

$$H(U_1 \cap U_2) \leq H(U_1)H(U_2).$$

This well known fact is an immediate corollary of Theorem 1 of [12].

**Lemma 2.3.** *Let  $X$  be a  $J \times N$  matrix over  $K$  with row vectors  $\mathbf{x}_1, \dots, \mathbf{x}_J$ , and let  $F$  be a symmetric bilinear form in  $N$  variables over  $K$ , as above (we also write  $F$  for its  $N \times N$  coefficient matrix). Then*

$$\mathcal{H}(XF) \leq H(F)^J \prod_{i=1}^J H(\mathbf{x}_i).$$

*Proof.* By Lemma 4.7 of [9]

$$(15) \quad \mathcal{H}(XF) = H(\mathbf{x}_1^t F \wedge \dots \wedge \mathbf{x}_J^t F) \leq \prod_{i=1}^J H(\mathbf{x}_i^t F).$$

For each  $1 \leq i \leq J$ ,

$$\mathbf{x}_i^t F = \left( \sum_{j=1}^N f_{j1} x_{ij}, \dots, \sum_{j=1}^N f_{jN} x_{ij} \right),$$

and so for  $v \nmid \infty$ ,

$$(16) \quad H_v(\mathbf{x}_i^t F) \leq H_v(F) H_v(\mathbf{x}_i),$$

and for  $v \mid \infty$ , by Cauchy-Schwarz inequality

$$(17) \quad \begin{aligned} H_v(\mathbf{x}_i^t F) &= \left\{ \sum_{k=1}^N \left\| \sum_{j=1}^N f_{jk} x_{ij} \right\|_v^2 \right\}^{d_v/2d} \\ &\leq \left\{ \sum_{k=1}^N \left( \sum_{j=1}^N \|f_{jk}\|_v^2 \right) \left( \sum_{j=1}^N \|x_{ij}\|_v^2 \right) \right\}^{d_v/2d} = H_v(F) H_v(\mathbf{x}_i). \end{aligned}$$

Therefore for each  $1 \leq i \leq J$ ,

$$(18) \quad H(\mathbf{x}_i^t F) \leq H(\mathbf{x}_i) H(F).$$

The lemma follows by combining (15) with (18).  $\square$

Next we will use Theorem 2.1 to produce a small-height orthogonal basis for a subspace of a bilinear space. Specifically, we prove the following theorem.

**Theorem 2.4.** *Let  $U$  be a  $J$ -dimensional subspace of  $(K^N, F)$ ,  $J < N$ . Then there exists a basis  $\mathbf{x}_1, \dots, \mathbf{x}_J \in K^N$  for  $U$  such that  $F(\mathbf{x}_i, \mathbf{x}_j) = 0$  for all  $i \neq j$ , and*

$$(19) \quad \prod_{i=1}^J H(\mathbf{x}_i) \leq (N|\mathcal{D}_K|)^{\frac{J^2+J-2}{4}} H(F)^{\frac{J(J+1)}{2}} H(U)^J.$$

*Proof.* We argue by induction on  $J$ . First suppose that  $J = 1$ , then pick any  $\mathbf{0} \neq \mathbf{x}_1 \in U$ , and observe that  $H(\mathbf{x}_1) = H(U)$ . Now assume that  $J > 1$  and the theorem is true for all  $1 \leq j < J$ . Let  $\mathbf{0} \neq \mathbf{x}_1 \in U$  be a vector guaranteed by Theorem 2.1 so that

$$(20) \quad H(\mathbf{x}_1) \leq \left\{ N|\mathcal{D}_K|^{1/d} \right\}^{1/2} H(U)^{1/J}.$$

First assume that  $\mathbf{x}_1$  is a non-singular point in  $U$ . Then

$$U_1 = \{\mathbf{y} \in U : \mathbf{x}_1^t F \mathbf{y} = 0\} = \{\mathbf{x}_1\}^\perp \cap U,$$

has dimension  $J - 1$ ; here  $\{\mathbf{x}_1\}^\perp = \{\mathbf{y} \in K^N : \mathbf{x}_1^t F \mathbf{y} = 0\}$ . Then by Lemma 2.2, Lemma 2.3, and (20) we obtain

$$(21) \quad H(U_1) \leq H(\mathbf{x}_1^t F)H(U) \leq H(F)H(\mathbf{x}_1)H(U) \leq \left(N|\mathcal{D}_K|^{1/d}\right)^{1/2} H(F)H(U)^{\frac{J+1}{J}}.$$

Since  $\dim_K(U_1) = J - 1$ , the induction hypothesis implies that there exists a basis  $\mathbf{x}_2, \dots, \mathbf{x}_J$  for  $U_1$  such that  $F(\mathbf{x}_i, \mathbf{x}_j) = 0$  for all  $2 \leq i \neq j \leq J$ , and

$$(22) \quad \begin{aligned} \prod_{i=2}^J H(\mathbf{x}_i) &\leq \left(N|\mathcal{D}_K|^{1/d}\right)^{\frac{J^2-J-2}{4}} H(F)^{\frac{J(J-1)}{2}} H(U_1)^{J-1} \\ &\leq \left(N|\mathcal{D}_K|^{1/d}\right)^{\frac{J^2+J-4}{4}} H(F)^{\frac{J^2+J-2}{2}} H(U)^{\frac{J^2-1}{J}}, \end{aligned}$$

where the last inequality follows by (21). Combining (20) and (22) we see that  $\mathbf{x}_1, \dots, \mathbf{x}_J$  is a basis for  $U$  satisfying (19) such that  $F(\mathbf{x}_i, \mathbf{x}_j) = 0$  for all  $1 \leq i \neq j \leq J$ .

Now assume that  $\mathbf{x}_1$  is a singular point in  $U$ . Since  $\mathbf{x}_1 \neq 0$ , it must be true that  $x_{1j} \neq 0$  for some  $1 \leq j \leq N$ . Let

$$U_1 = U \cap \{\mathbf{x} \in K^N : x_j = 0\},$$

then  $\mathbf{x}_1 \notin U_1$ ,  $U = K\mathbf{x}_1 \perp U_1$ , and

$$(23) \quad H(U_1) \leq H(U),$$

by Lemma 2.2. Since  $\dim_K(U_1) = J - 1$ , we can apply induction hypothesis to  $U_1$ , and proceed the same way as in the non-singular case above. Since the upper bound of (23) is smaller than that of (21), the result follows.  $\square$

Notice that Theorem 2.4 can be reformulated by saying that there exists a decomposition of the bilinear space  $(U, F)$  into an orthogonal sum of one-dimensional subspaces, the product of heights of which is bounded above by (19). Therefore Theorem 2.4 can also be viewed as a result on effective orthogonal decomposition of a bilinear space, which is the subject of this paper.

### 3. SMALL ZEROS OF QUADRATIC FORMS

Let  $F$  be a symmetric bilinear form in  $2N$  variables over  $K$ , as above. Let  $Z \subseteq K^N$  be a subspace of dimension  $2 \leq L \leq N$ . We write  $(Z, F)$  for the bilinear space on  $Z$  with the bilinear form  $F$  restricted to  $Z$ . In this section we review some basic results on bilinear spaces and setup the notation that will later be used in the proof of Theorem 1.3.

We start by giving a brief overview of required notation (see Chapter 1 of [10] for a detailed introduction into the subject). A totally isotropic subspace  $W$  of  $(Z, F)$  is a subspace such that for all  $\mathbf{x}, \mathbf{y} \in W$ ,  $F(\mathbf{x}, \mathbf{y}) = 0$ . All maximal totally isotropic subspaces of  $(Z, F)$  have the same dimension. It is called the Witt index of  $(Z, F)$  and we denote it by  $M$ . A subspace  $U$  of  $(Z, F)$  is anisotropic if  $F(\mathbf{x}) \neq 0$  for all  $\mathbf{0} \neq \mathbf{x} \in U$ . A subspace  $U$  of  $(Z, F)$  is called regular if for each  $\mathbf{0} \neq \mathbf{x} \in U$  there exists  $\mathbf{y} \in U$  so that  $F(\mathbf{x}, \mathbf{y}) \neq 0$ . For each subspace  $U$  of  $(Z, F)$  we define  $U^\perp = \{\mathbf{x} \in Z : F(\mathbf{x}, \mathbf{y}) = 0 \forall \mathbf{y} \in U\}$ . If two subspaces  $U_1$  and  $U_2$  of  $(Z, F)$  are

orthogonal, we write  $U_1 \perp U_2$  for their orthogonal sum. If  $U$  is a regular subspace of  $(Z, F)$ , then  $Z = U \perp U^\perp$  and  $U \cap U^\perp = \{\mathbf{0}\}$ .

Two vectors  $\mathbf{x}, \mathbf{y} \in Z$  are called a hyperbolic pair if  $F(\mathbf{x}) = F(\mathbf{y}) = 0$ ,  $F(\mathbf{x}, \mathbf{y}) = 1$ ; the subspace  $\mathbb{H}(\mathbf{x}, \mathbf{y}) = \text{span}_K\{\mathbf{x}, \mathbf{y}\}$  is regular and is called a hyperbolic plane. An orthogonal sum of hyperbolic planes is called a hyperbolic space. Every hyperbolic space is regular.

We now state a result of Vaaler [13] (see also [11]) on the existence of a maximal totally isotropic subspace of  $(Z, F)$  of small height, which we later use in the proof of Theorem 1.3.

**Theorem 3.1** ([13]). *Let  $M \geq 1$  be the Witt index of  $(Z, F)$  over  $K$ . Then there exists a subspace  $W$  of  $(Z, F)$  of dimension  $M$  such that  $F(\mathbf{x}) = 0$  for all  $\mathbf{x} \in W$  and*

$$(24) \quad H(W) \leq \{2^{2M+1}C_K(L-M)^2H(F)\}^{(L-M)/2}H(Z).$$

Notice that subspace  $W$  of Theorem 3.1 is indeed maximal totally isotropic. Maximality is by construction. Also, for each  $\mathbf{x}, \mathbf{y} \in W$ ,  $\mathbf{x} + \mathbf{y} \in W$ , hence

$$0 = F(\mathbf{x} + \mathbf{y}) = F(\mathbf{x}) + F(\mathbf{y}) + 2F(\mathbf{x}, \mathbf{y}) = 2F(\mathbf{x}, \mathbf{y}).$$

A consequence of a related theorem of Vaaler is the following simple decomposition lemma in case when  $(Z, F)$  is not a regular space.

**Lemma 3.2.** *Let  $F$  have rank  $r$  on  $Z$ , and assume that  $1 \leq r < L$ . Then the bilinear space  $(Z, F)$  can be represented as*

$$(25) \quad Z = Z^\perp \perp W,$$

where  $W$  is a regular subspace of  $Z$ , with

$$(26) \quad H(Z^\perp) \leq C_K(r)^r H(F)^{r/2} H(Z),$$

and

$$(27) \quad H(W) \leq \left\{N|\mathcal{D}_K|^{1/d}\right\}^{L/2} H(Z).$$

*Proof.* The fact that  $Z^\perp$  satisfies (26) is guaranteed by Theorem 2 of [14]. Now let  $\mathbf{z}_1, \dots, \mathbf{z}_L$  be the basis for  $Z$  guaranteed by Theorem 2.1, then

$$(28) \quad \prod_{i=1}^L H(\mathbf{z}_i) \leq \left\{N|\mathcal{D}_K|^{1/d}\right\}^{L/2} H(Z).$$

Notice that  $\dim_K(Z^\perp) = L - r$ . We can now pick  $r$  vectors  $\mathbf{z}_{i_1}, \dots, \mathbf{z}_{i_r}$  from our basis for  $Z$  such that

$$\text{span}_K\{Z^\perp, \mathbf{z}_{i_1}, \dots, \mathbf{z}_{i_r}\} = Z.$$

Let  $W = \text{span}_K\{\mathbf{z}_{i_1}, \dots, \mathbf{z}_{i_r}\}$ , then  $Z = Z^\perp \oplus W$ . This implies, by Theorem 3.8 on p. 9 of [10], that  $Z = Z^\perp \perp W$ ,  $W$  is regular and unique up to isometry. Also, combining Lemma 4.7 of [9] with (28), we obtain

$$H(W) = H(\mathbf{z}_{i_1} \wedge \dots \wedge \mathbf{z}_{i_r}) \leq \prod_{j=1}^r H(\mathbf{z}_{i_j}) \leq \left\{N|\mathcal{D}_K|^{1/d}\right\}^{L/2} H(Z).$$

This finishes the proof.  $\square$



Notice that we can immediately deduce a version of Cassels' theorem on small zeros of quadratic form  $F$  over  $K$  from Theorem 3.1. Namely, if  $F$  is isotropic over  $K$ , then there exists  $\mathbf{0} \neq \mathbf{x} \in \mathcal{V}_K(F) = \{\mathbf{t} \in K^N : F(\mathbf{t}) = 0\}$  such that

$$(29) \quad H(\mathbf{x}) \ll_{K,N} H(F)^{\frac{N-1}{2}}.$$

The exponent  $\frac{N-1}{2}$  on  $H(F)$  is proved to be best possible. In fact, if  $\mathcal{V}_K(F)$  contains a *nonsingular* point, then by Corollary 1.2 of [3] there exists such a point satisfying (29). A similar statement about singular points of small height in  $\mathcal{V}_K(F)$  can be deduced from Lemma 3.2.

**Corollary 3.3.** *Suppose that  $\mathcal{V}_K(F) = \{\mathbf{t} \in K^N : F(\mathbf{t}) = 0\}$  contains a singular point  $\mathbf{x} \neq \mathbf{0}$ , so  $1 \leq r = \text{rk}(F) < N$ . Then there exists such a point  $\mathbf{x}$  with*

$$(30) \quad H(\mathbf{x}) \leq \sqrt{N} |\mathcal{D}_K|^{1/2d} C_K(r)^{\frac{r}{N-r}} H(F)^{\frac{r}{2(N-r)}}.$$

*Proof.* Let  $Z$  of Lemma 3.2 be  $K^N$ , then  $H(Z) = 1$ ,  $L = N$ , and  $\dim_K(Z^\perp) = N-r$ . Clearly  $Z^\perp \subseteq \mathcal{V}_K(F)$ , and all points of  $Z^\perp$  are singular in  $\mathcal{V}_K(F)$ . By Theorem 2.1, there must exist  $\mathbf{0} \neq \mathbf{x} \in Z^\perp$  such that

$$H(\mathbf{x}) \leq \sqrt{N} |\mathcal{D}_K|^{1/2d} H(Z^\perp)^{1/(N-r)} \leq \sqrt{N} |\mathcal{D}_K|^{1/2d} C_K(r)^{\frac{r}{N-r}} H(F)^{\frac{r}{2(N-r)}},$$

where the last inequality follows by (26).  $\square$

Notice that Corollary 3.3 suggests that in this context the singular case can be simpler than the nonsingular one. This unusual phenomenon has already been observed in [6] and [3]. We are now ready to prove Theorem 1.3.

#### 4. PROOF OF THEOREM 1.3

We first prove a version of our theorem for a regular bilinear space. We remark that everywhere in our arguments, if  $m < n$ , then  $\sum_{i=n}^m$  is taken to mean 0 and  $\prod_{i=n}^m$  is taken to mean 1.

**Theorem 4.1.** *Let  $F$  be a symmetric bilinear form on  $K^N$ . Let  $Z \subseteq K^N$  be a subspace of dimension  $L$ ,  $2 \leq L \leq N$ , such that the bilinear space  $(Z, F)$  is regular, i.e.  $Z^\perp = \{\mathbf{0}\}$ . Let  $M \geq 1$  be the Witt index of  $(Z, F)$ . There exists an orthogonal decomposition of  $(Z, F)$  of the form*

$$(31) \quad Z = \mathbb{H}_1 \perp \dots \perp \mathbb{H}_M \perp V,$$

where  $\mathbb{H}_i$  are hyperbolic planes,  $V$  is anisotropic component, and

$$(32) \quad \max\{H(\mathbb{H}_i), H(V)\} \leq A_K(N, L, M) \left\{ H(F)^{\frac{L+2M}{4}} H(Z) \right\}^{\frac{(M+1)(M+2)}{2}},$$

for each  $1 \leq i \leq M$ , where

$$(33) \quad A_K(N, L, M) = \left\{ (2^{2M+1} C_K(L)^2)^L \left( N |\mathcal{D}_K|^{1/d} \right)^{M+L} \right\}^{\frac{M(M+3)}{8}}.$$

*Proof.* Let  $W$  be a maximal totally isotropic subspace of  $(Z, F)$  satisfying (24) and let  $\mathbf{x}_1, \dots, \mathbf{x}_M$  be the basis for  $W$  guaranteed by Theorem 2.1. Notice that  $F(\mathbf{x}_i, \mathbf{x}_j) = 0$  for all  $1 \leq i, j \leq M$ , since  $W$  is a totally isotropic subspace. Let  $\mathbf{y}_1, \dots, \mathbf{y}_L$  be the basis for  $Z$  guaranteed by Theorem 2.1, ordered so that

$$H(\mathbf{y}_1) \leq H(\mathbf{y}_2) \leq \dots \leq H(\mathbf{y}_L).$$

For each  $1 \leq i \leq M$  let  $j_i$  be the smallest index such that  $F(\mathbf{x}_i, \mathbf{y}_{j_i}) \neq 0$ . Such  $j_i$  exists for each  $i$  since otherwise  $\mathbf{x}_i$  would be a singular point, contradicting regularity of  $(Z, F)$ . By reordering  $\mathbf{x}_1, \dots, \mathbf{x}_M$  if necessary, we can assume without loss of generality that

$$1 \leq j_M \leq j_{M-1} \leq \dots \leq j_1 \leq L.$$

Moreover, for each  $1 \leq i \leq M$ ,  $j_i \leq L - i + 1$ , since

$$\text{span}_K\{\mathbf{y}_1, \dots, \mathbf{y}_{L-i+1}\} \not\subseteq \text{span}_K\{\mathbf{x}_1, \dots, \mathbf{x}_i\}^\perp,$$

and so  $H(\mathbf{y}_{j_i}) \leq H(\mathbf{y}_{L-i+1})$  by our ordering of  $\mathbf{y}_1, \dots, \mathbf{y}_L$ . Therefore, by (14)

$$\begin{aligned} \prod_{i=1}^M H(\mathbf{x}_i)H(\mathbf{y}_{j_i}) &\leq \prod_{i=1}^M H(\mathbf{x}_i)H(\mathbf{y}_{L-i+1}) \\ &= \left( \prod_{i=1}^M H(\mathbf{x}_i) \right) \left( \prod_{i=1}^M H(\mathbf{y}_{L-i+1}) \right) \\ (34) \quad &\leq \left\{ N|\mathcal{D}_K|^{1/d} \right\}^{\frac{M+L}{2}} H(W)H(Z). \end{aligned}$$

In particular, for some  $1 \leq i \leq M$ , we must have

$$(35) \quad H(\mathbf{x}_i)H(\mathbf{y}_{j_i}) \leq \left\{ N|\mathcal{D}_K|^{1/d} \right\}^{\frac{M+L}{2M}} (H(W)H(Z))^{\frac{1}{M}}.$$

Define  $\mathbb{H}_1 = \text{span}_K\{\mathbf{x}_i, \mathbf{y}_{j_i}\}$  for this choice of  $i$ . Since  $F(\mathbf{x}_i) = 0$  and  $F(\mathbf{x}_i, \mathbf{y}_{j_i}) \neq 0$ ,  $\mathbb{H}_1$  is a regular subspace of  $Z$  with Witt index equal to one, hence it is a hyperbolic plane. Notice that by combining (35) and (24), we have

$$(36) \quad H(\mathbb{H}_1) \leq H(\mathbf{x}_i)H(\mathbf{y}_{j_i}) \leq B_K(N, L, M)H(F)^{\frac{L-M}{2M}}H(Z)^{\frac{2}{M}},$$

where

$$(37) \quad B_K(N, L, M) = \left\{ (2^{2M+1}C_K(L-M)^2)^{L-M} \left( N|\mathcal{D}_K|^{1/d} \right)^{M+L} \right\}^{\frac{1}{2M}}.$$

Define

$$Z_1 = \mathbb{H}_1^\perp = \{\mathbf{z} \in K^N : F(\mathbf{z}, \mathbf{x}) = 0 \forall \mathbf{x} \in \mathbb{H}_1\} \cap Z,$$

so  $\dim_K(Z_1) = L - 2$ , and  $Z = \mathbb{H}_1 \perp Z_1$ . Notice that by combining Lemma 2.2, Lemma 2.3, and (36), we have

$$(38) \quad H(Z_1) \leq H(\mathbb{H}_1)H(Z)H(F)^2 \leq B_K(N, L, M)H(F)^{\frac{L+3M}{2M}}H(Z)^{\frac{M+2}{M}}.$$

We continue by induction on  $M$ . If  $M = 1$ , we are done. If  $M \geq 2$ , assume that the theorem holds for a bilinear space of Witt index smaller than  $M$ , in particular it holds for  $(Z_1, F)$ , a bilinear space of dimension  $L - 2$  and Witt index  $M - 1$ . Then there exists a decomposition

$$(39) \quad Z_1 = \mathbb{H}_2 \perp \dots \perp \mathbb{H}_M \perp V,$$

where  $V$ , the anisotropic component of  $Z_1$  is the same as that of  $Z$ , and combining the induction hypothesis with (38) and (37), for each  $2 \leq i \leq M$  we obtain

$$\begin{aligned}
 \max\{H(\mathbb{H}_i), H(V)\} &\leq A_K(N, L-2, M-1) \left\{ H(F)^{\frac{L+2M-4}{4}} H(Z_1) \right\}^{\frac{M(M+1)}{2}} \\
 &\leq A_K(N, L-2, M-1) B_K(N, L, M)^{\frac{M(M+1)}{2}} \times \\
 &\quad \times \left\{ H(F)^{\frac{L+2M-4}{4} + \frac{L+3M}{2M}} H(Z)^{\frac{M+2}{M}} \right\}^{\frac{M(M+1)}{2}} \\
 (40) \qquad \qquad \qquad &\leq A_K(N, L, M) \left\{ H(F)^{\frac{L+2M}{4}} H(Z) \right\}^{\frac{(M+1)(M+2)}{2}}.
 \end{aligned}$$

This finishes the proof.  $\square$

*Proof of Theorem 1.3.* If  $(Z, F)$  is regular, then  $Z^\perp = \{\mathbf{0}\}$ , and we are done by Theorem 4.1. Let  $r$  be rank of  $F$  on  $Z$ , and assume that  $1 \leq r < L$ . By Lemma 3.2, there exists a decomposition of  $Z$  of the form (25) with  $H(Z^\perp)$  and  $H(W)$  bounded as in (26) and (27) respectively. Now  $W$  is a regular subspace of  $Z$ , so we can apply Theorem 4.1 to the bilinear space  $(W, F)$ . The result follows.  $\square$

## 5. ISOMETRIES OF A BILINEAR SPACE

In this section we develop the preliminaries needed for the proof of Theorem 1.4. We start with some definitions and then prove a few technical lemmas. Let  $F$  be a symmetric bilinear form as above, and let  $Z$  be an  $L$ -dimensional subspace of  $K^N$ ,  $1 \leq L \leq N$ ,  $N \geq 2$ , such that the bilinear space  $(Z, F)$  is regular, and thus  $K^N = Z \perp Z^\perp_{K^N}$ , where  $Z^\perp_{K^N} = \{\mathbf{x} \in K^N : F(\mathbf{x}, \mathbf{z}) = 0 \ \forall \mathbf{z} \in Z\}$ . Let  $\mathcal{O}(Z, F)$  be the group of isometries of  $(Z, F)$ , and write  $id_Z$  for its identity element. Also let  $-id_Z$  be the element of  $\mathcal{O}(Z, F)$  that takes  $\mathbf{x}$  to  $-\mathbf{x}$  for each  $\mathbf{x} \in Z$ . Each element  $\sigma$  of the isometry group  $\mathcal{O}(K^N, F)$  is uniquely represented by an  $N \times N$  matrix  $A \in GL_N(K)$ , and so we can define  $H(\sigma) = H(A)$ , where  $H(A)$  is defined by viewing  $A$  as a vector in  $K^{N^2}$  as we did in section 1.

Notice that each  $\sigma \in \mathcal{O}(Z, F)$  can be extended to an isometry of  $\hat{\sigma} \in \mathcal{O}(K^N, F)$  by selecting an isometry  $\sigma' \in \mathcal{O}(Z^\perp_{K^N}, F)$ . For each  $\sigma \in \mathcal{O}(Z, F)$  choose such an extension  $\hat{\sigma} : K^N \rightarrow K^N$  so that  $H(\hat{\sigma})$  is minimal, and define  $H(\sigma) = H(\hat{\sigma})$  for this choice of  $\hat{\sigma}$ . This definition of height in particular insures that for each  $\sigma \in \mathcal{O}(Z, F)$

$$(41) \qquad \qquad \qquad H(\sigma) = H(-\sigma),$$

where  $-\sigma = -id_Z \circ \sigma$ . Moreover, if  $A$  is the matrix of  $\hat{\sigma}$ , then

$$(42) \qquad \det(A) = \det(\hat{\sigma}) = \det(\hat{\sigma}|_Z) \det(\hat{\sigma}|_{Z^\perp_{K^N}}) = \det(\sigma) \det(\sigma') = \pm 1.$$

We will also refer to this matrix  $A$  as the matrix of  $\sigma$ .

For each  $\mathbf{x} \in Z$  such that  $F(\mathbf{x}) \neq 0$  we can define an element of  $\mathcal{O}(Z, F)$ ,  $\tau_{\mathbf{x}} : Z \rightarrow Z$ , given by

$$(43) \qquad \qquad \qquad \tau_{\mathbf{x}}(\mathbf{y}) = \mathbf{y} - \frac{2F(\mathbf{x}, \mathbf{y})}{F(\mathbf{x})} \mathbf{x},$$

which is a *reflection* in the hyperplane  $\{\mathbf{x}\}^\perp = \{\mathbf{z} \in Z : F(\mathbf{x}, \mathbf{z}) = 0\}$ . It is not difficult to see that the matrix of such a reflection is of the form  $(\tau_{ij}(\mathbf{x}))_{1 \leq i, j \leq N}$ ,

where

$$\tau_{ij}(\mathbf{x}) = \begin{cases} 1 - \frac{2}{F(\mathbf{x})} \sum_{k=1}^N f_{ik} x_i x_k & \text{if } i = j \\ -\frac{2}{F(\mathbf{x})} \sum_{k=1}^N f_{jk} x_i x_k & \text{if } i \neq j \end{cases}$$

For each reflection  $\tau_{\mathbf{x}}$ ,  $\det(\tau_{\mathbf{x}}) = -1$ . We say that  $\sigma$  is a *rotation* if  $\det(\sigma) = +1$ .

**Lemma 5.1.** *Let  $\mathbf{x} \in Z$  be anisotropic and  $\tau_{\mathbf{x}} \in \mathcal{O}(Z, F)$  be the corresponding reflection. Then*

$$(44) \quad H(\tau_{\mathbf{x}}) \leq N^3(N+2)H(F)H(\mathbf{x})^2.$$

*Proof.* By the product formula,  $H(\tau_{\mathbf{x}}) = H(F(\mathbf{x})\tau_{\mathbf{x}})$ . If  $v \in M(K)$  is such that  $v \nmid \infty$ , then for each  $1 \leq i = j \leq N$

$$(45) \quad \begin{aligned} |F(\mathbf{x})\tau_{ij}(\mathbf{x})|_v &= \left| F(\mathbf{x}) - 2 \sum_{k=1}^N f_{jk} x_i x_k \right|_v \\ &= \left| \sum_{l=1}^N \sum_{m=1}^N f_{lm} x_l x_m - 2 \sum_{k=1}^N f_{jk} x_i x_k \right|_v \leq H_v(F)H_v(\mathbf{x})^2, \end{aligned}$$

since  $|2|_v \leq 1$ , and similarly when  $i \neq j$ , so  $H_v(F(\mathbf{x})\tau_{\mathbf{x}}) \leq H_v(F)H_v(\mathbf{x})^2$ .

If  $v|\infty$ , then for each  $1 \leq i = j \leq N$

$$(46) \quad \begin{aligned} \|F(\mathbf{x})\tau_{ij}(\mathbf{x})\|_v &\leq \sum_{l=1}^N \sum_{m=1}^N \|f_{lm} x_l x_m\|_v + 2 \sum_{k=1}^N \|f_{jk} x_i x_k\|_v \\ &\leq N(N+2) \max_{1 \leq l, m \leq N} \|f_{lm} x_l x_m\|_v \\ &\leq N(N+2) \{H_v(F)H_v(\mathbf{x})^2\}^{d/d_v}, \end{aligned}$$

and similarly when  $i \neq j$ , therefore  $H_v(F(\mathbf{x})\tau_{\mathbf{x}}) \leq \{N^3(N+2)\}^{d_v/d} H_v(F)H_v(\mathbf{x})^2$ . The result follows by taking a product over all places of  $K$ .  $\square$

**Lemma 5.2.** *Let  $\sigma \in \mathcal{O}(Z, F)$ . There exists an anisotropic vector  $\mathbf{y}$  in  $Z$  such that  $\sigma(\mathbf{y}) \pm \mathbf{y}$  is also anisotropic for some choice of  $\pm$ , and*

$$(47) \quad H(\mathbf{y}) \leq h(\mathbf{y}) \leq 2\sqrt{L} \left\{ N|\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(Z)^{\frac{L+2}{2L}}.$$

*Proof.* If  $L = 1$ , then  $Z = K\mathbf{y}$  for some  $\mathbf{0} \neq \mathbf{y} \in K^N$ , and since  $(Z, F)$  is regular,  $F(\mathbf{y}) \neq 0$ ,  $H(\mathbf{y}) = H(Z)$ ,  $\mathcal{O}(Z, F) = \{id_Z\}$ , and clearly  $id_Z(\mathbf{y}) + \mathbf{y} = 2\mathbf{y}$  is also anisotropic. Hence assume  $L \geq 2$ . Let  $\mathbf{x}_1, \dots, \mathbf{x}_L$  be a basis for  $Z$  which satisfies (14), ordered so that

$$h(\mathbf{x}_1) \leq h(\mathbf{x}_2) \leq \dots \leq h(\mathbf{x}_L),$$

Let  $m$  be the the smallest index such that the restriction of  $F$  to

$$U = \text{span}_K\{\mathbf{x}_1, \dots, \mathbf{x}_m\}$$

is not identically zero. Since  $(Z, F)$  is regular, we must have  $1 \leq m \leq \lfloor \frac{L}{2} \rfloor + 1$ , and therefore, by (14)

$$(48) \quad \prod_{i=1}^m h(\mathbf{x}_i) \leq \left\{ N|\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{m}{2}} H(Z)^{\frac{m}{L}} \leq \left\{ N|\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(Z)^{\frac{L+2}{2L}}.$$

Notice that for every vector  $\mathbf{x} \in Z$ ,

$$F(\sigma(\mathbf{x}) - \mathbf{x}) + F(\sigma(\mathbf{x}) + \mathbf{x}) = 4F(\mathbf{x}).$$

Since  $F$  is not identically zero on  $U$ , it must therefore be true that at least one of  $F \circ (\sigma \pm id_Z)$  is not identically zero on  $U$ . Assume for instance that  $F \circ (\sigma - id_Z)$  is not identically zero on  $U$ . Then the homogeneous polynomial of degree four in  $m$  variables

$$P(a_1, \dots, a_m) = F \left( \sum_{i=1}^m a_i \mathbf{x}_i \right) F \left( \sigma \left( \sum_{i=1}^m a_i \mathbf{x}_i \right) - \sum_{i=1}^m a_i \mathbf{x}_i \right) \in K[a_1, \dots, a_m]$$

is not identically zero on  $U$ . Therefore there exist  $\beta_1, \dots, \beta_m \in \{-2, -1, 0, 1, 2\}$  such that  $P(\beta_1, \dots, \beta_m) \neq 0$ . Let  $\mathbf{y} = \sum_{i=1}^m \beta_i \mathbf{x}_i$  for this choice of  $\beta_1, \dots, \beta_m$ , then  $\mathbf{y} \in U$  is precisely the vector we are looking for. Combining (7) and (48) we obtain

$$H(\mathbf{y}) \leq h(\mathbf{y}) \leq \sqrt{\frac{4(L+2)}{2}} \prod_{i=1}^m h(\mathbf{x}_i) \leq 2\sqrt{L} \left\{ N |\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(Z)^{\frac{L+2}{2L}},$$

since  $L \geq 2$ . This completes the proof.  $\square$

An immediate consequence of Lemma 5.1 and Lemma 5.2 is the following statement on the existence of isometries of  $(Z, F)$  of small height. This is related to a question of Masser in [7] (see the discussion on this in the introduction - section 1).

**Corollary 5.3.** *There exists a reflection  $\tau \in \mathcal{O}(Z, F)$  with*

$$(49) \quad H(\tau) \leq 4LN^{\frac{L+8}{2}} (N+2) |\mathcal{D}_K|^{\frac{L+2}{2d}} H(F) H(Z)^{\frac{L+2}{L}}.$$

*Proof.* Let  $\mathbf{x}$  be an anisotropic point in  $Z$  guaranteed by Lemma 5.2. Let  $\tau = \tau_{\mathbf{x}}$ . The result follows by combining (44) with (47).  $\square$

**Lemma 5.4.** *Let  $A \in GL_N(K)$  be such that  $\det(A) = \pm 1$ , and write  $I_N$  for the  $N \times N$  identity matrix. Then*

$$(50) \quad H(A \pm I_N) \leq 2H(A).$$

*Proof.* Let  $\mathbf{a}_1, \dots, \mathbf{a}_N$  be row vectors of  $A$ . Then for each  $v \in M(K)$

$$\prod_{i=1}^N H_v(\mathbf{a}_i) \geq \begin{cases} |\det(A)|_v = 1 & \text{if } v \nmid \infty \\ \|\det(A)\|_v = 1 & \text{if } v \mid \infty \end{cases}$$

by Hadamard's inequality. Therefore, if  $v \nmid \infty$ , we have

$$H_v(A) = \max_{1 \leq i \leq N} \{H_v(\mathbf{a}_i)\} \geq 1,$$

and so

$$(51) \quad H_v(A \pm I_N) \leq \max\{1, H_v(A)\} = H_v(A).$$

If  $v \mid \infty$ ,

$$\begin{aligned} 1 \leq \left( \prod_{i=1}^N H_v(\mathbf{a}_i)^{\frac{d}{d_v}} \right)^{\frac{1}{N}} &\leq \frac{1}{N} \sum_{i=1}^N H_v(\mathbf{a}_i)^{\frac{d}{d_v}} \\ &\leq \frac{1}{\sqrt{N}} \left( \sum_{i=1}^N H_v(\mathbf{a}_i)^{\frac{2d}{d_v}} \right)^{\frac{1}{2}} = \frac{1}{\sqrt{N}} H_v(A)^{\frac{d}{d_v}}, \end{aligned}$$

where the last inequality follows by Cauchy-Schwarz. Hence  $H_v(A)^{\frac{d}{d_v}} \geq \sqrt{N}$ , and so, by the triangle inequality,

$$(52) \quad H_v(A \pm I_N)^{\frac{d}{d_v}} \leq H_v(A)^{\frac{d}{d_v}} + H_v(I_N)^{\frac{d}{d_v}} \leq H_v(A)^{\frac{d}{d_v}} + \sqrt{N} \leq 2H_v(A)^{\frac{d}{d_v}}.$$

The result follows by combining (51) with (52) and taking a product over all places of  $K$ .  $\square$

The following simple corollary of Lemma 5.4 provides a bound on the height of the invariant subspace of an isometry, which is an object of interest in the algebraic theory of quadratic forms.

**Corollary 5.5.** *Let  $\sigma \in \mathcal{O}(Z, F)$ . Let  $U$  be the invariant subspace of  $\sigma$ , i.e.  $U = \{\mathbf{z} \in Z : \sigma(\mathbf{z}) = \mathbf{z}\}$ . Let  $J = \dim_K(U) \leq L$ . Then*

$$(53) \quad H(U) \leq \{2H(\sigma)\}^{N-J} H(Z),$$

*Proof.* Write  $A$  for the  $N \times N$  matrix of  $\sigma$ , and  $I_N$  for the  $N \times N$  identity matrix. Notice that  $U = \{\mathbf{z} \in Z : (A - I_N)\mathbf{z} = \mathbf{0}\}$ . Let  $B$  be a submatrix of  $A - I_N$  which consists of  $N - J$  linearly independent rows of  $A - I_N$ . Hence rows of  $B$  are of the form  $\mathbf{a}_{i_1} - \mathbf{e}_{i_1}, \dots, \mathbf{a}_{i_{N-J}} - \mathbf{e}_{i_{N-J}}$  for some  $i_1, \dots, i_{N-J} \in \{1, \dots, N\}$ . Then, by Lemma 4.7 of [9]

$$(54) \quad \begin{aligned} \mathcal{H}(B) &= H((\mathbf{a}_{i_1} - \mathbf{e}_{i_1}) \wedge \dots \wedge (\mathbf{a}_{i_{N-J}} - \mathbf{e}_{i_{N-J}})) \\ &\leq \prod_{j=1}^{N-J} H(\mathbf{a}_{i_j} - \mathbf{e}_{i_j}) \leq H(A - I_N)^{N-J} \leq (2H(A))^{N-J}, \end{aligned}$$

where the last inequality follows by Lemma 5.4. Combining (54) with Lemma 2.2, we obtain

$$H(U) \leq \mathcal{H}(B)H(Z) \leq \{2H(A)\}^{N-J} H(Z).$$

This finishes the proof, since  $H(\sigma) = H(A)$  by definition.  $\square$

The following lemma bounds the height of a product of two matrices.

**Lemma 5.6.** *Let  $A$  and  $B$  be two  $N \times N$  matrices with entries in  $K$ . Then*

$$(55) \quad H(AB) \leq H(A)H(B).$$

*Proof.* Write  $A = (\mathbf{a}_1 \dots \mathbf{a}_N)^t$ , i. e.  $\mathbf{a}_1^t, \dots, \mathbf{a}_N^t$  are row vectors of  $A$ . Then we can think of

$$AB = (\mathbf{a}_1^t B, \dots, \mathbf{a}_N^t B)^t$$

as a vector in  $K^{N^2}$ . Hence for each  $v \in M(K)$  such that  $v \nmid \infty$

$$H_v(AB) = \max_{1 \leq i \leq N} \{H_v(\mathbf{a}_i^t B)\} \leq H_v(B) \max_{1 \leq i \leq N} \{H_v(\mathbf{a}_i)\} = H_v(A)H_v(B),$$

by (16). For each  $v \mid \infty$ , we have

$$H_v(AB) = \left\{ \sum_{i=1}^N H_v(\mathbf{a}_i^t B)^{\frac{2d}{d_v}} \right\}^{\frac{d_v}{2d}} \leq H_v(B) \left\{ \sum_{i=1}^N H_v(\mathbf{a}_i)^{\frac{2d}{d_v}} \right\}^{\frac{d_v}{2d}} = H_v(A)H_v(B),$$

by (17). The conclusion follows by taking a product.  $\square$

## 6. EFFECTIVE VERSION OF CARTAN-DIEUDONNÉ THEOREM

In this section we will prove Theorem 1.4. Let all the notation be as in section 5. We argue by induction on  $L$ . When  $L = 1$ ,  $Z = K\mathbf{x}$  for some anisotropic vector  $\mathbf{x} \in K^N$ , since  $(Z, F)$  is regular. Then  $\sigma = \pm id_Z$ , where  $-id_Z = \tau_{\mathbf{x}}$ , and  $H(\sigma) = \sqrt{N}$  by (41).

Then assume  $L > 1$ . Write  $A$  for the  $N \times N$  matrix of  $\sigma$ , and  $I_N$  for the  $N \times N$  identity matrix, so in particular  $H(\sigma) = H(A)$ . Notice that for each  $\mathbf{x} \in Z$ ,

$$(56) \quad F(\sigma(\mathbf{x}) - \mathbf{x}, \sigma(\mathbf{x}) + \mathbf{x}) = 0.$$

Let  $\mathbf{x} \in Z$  be the anisotropic vector guaranteed by Lemma 5.2 with  $\sigma(\mathbf{x}) \pm \mathbf{x}$  also anisotropic. For this choice of  $\pm$ ,  $\tau_{\sigma(\mathbf{x}) \pm \mathbf{x}}$  fixes  $\sigma(\mathbf{x}) \mp \mathbf{x}$  and maps  $\sigma(\mathbf{x}) \pm \mathbf{x}$  to  $-(\sigma(\mathbf{x}) \pm \mathbf{x})$ . Then  $2\sigma(\mathbf{x}) = (\sigma(\mathbf{x})) + (\sigma(\mathbf{x}) - \mathbf{x})$  will be mapped to  $(\sigma(\mathbf{x}) \mp \mathbf{x}) - (\sigma(\mathbf{x}) \pm \mathbf{x}) = \mp 2\mathbf{x}$ . We can therefore observe that if  $\sigma(\mathbf{x}) - \mathbf{x}$  is anisotropic, then

$$(57) \quad \sigma' = \tau_{\sigma(\mathbf{x}) - \mathbf{x}} \circ \sigma$$

fixes  $\mathbf{x}$ . If, on the other hand,  $\sigma(\mathbf{x}) + \mathbf{x}$  is anisotropic, then

$$(58) \quad \sigma' = \tau_{\sigma(\mathbf{x}) + \mathbf{x}} \circ \tau_{\sigma(\mathbf{x})} \circ \sigma$$

fixes  $\mathbf{x}$ . In any case,  $\sigma'$  defined either by (57) or (58) is an isometry of the  $(L-1)$ -dimensional regular bilinear space  $(\{\mathbf{x}\}^\perp, F)$ , where  $\{\mathbf{x}\}^\perp = \{\mathbf{z} \in Z : F(\mathbf{x}, \mathbf{z}) = 0\}$ . Then, by the induction hypothesis,

$$\sigma' = \tau_1 \circ \cdots \circ \tau_l,$$

for some reflections  $\tau_1, \dots, \tau_l$  with  $1 \leq l \leq 2L-3$  and

$$(59) \quad H(\tau_i) \leq \left\{ \left( 2N^2 |\mathcal{D}_K|^{\frac{1}{2d}} \right)^{\frac{(L-1)^2}{2}} H(F)^{\frac{L-1}{3}} H(\{\mathbf{x}\}^\perp)^{\frac{L-1}{2}} H(\sigma') \right\}^{5^{L-2}},$$

for each  $1 \leq i \leq l$ , and so

$$(60) \quad \sigma = \sigma'' \circ \tau_1 \circ \cdots \circ \tau_l,$$

for the same  $\tau_1, \dots, \tau_l$  and  $\sigma'' = \tau_{\sigma(\mathbf{x}) - \mathbf{x}}$  or  $\sigma'' = \tau_{\sigma(\mathbf{x}) + \mathbf{x}} \circ \tau_{\sigma(\mathbf{x})}$ , depending on which of  $\sigma(\mathbf{x}) \pm \mathbf{x}$  is anisotropic, so  $\sigma$  is a product of at most  $2L-1$  reflections. Next we are going to produce bounds on their heights. Combining Lemma 5.1 with an argument identical to the proof of Lemma 2.3 and Lemma 5.2, we obtain

$$(61) \quad H(\tau_{\sigma(\mathbf{x})}) \leq 4LN^{\frac{L+8}{2}} (N+2) |\mathcal{D}_K|^{\frac{L+2}{2d}} H(F) H(Z)^{\frac{L+2}{L}} H(\sigma)^2.$$

Therefore  $\tau_{\sigma(\mathbf{x})}$  satisfies (13). Also by Lemma 5.1,

$$(62) \quad H(\tau_{\sigma(\mathbf{x}) \pm \mathbf{x}}) \leq N^3 (N+2) H(F) H(\sigma(\mathbf{x}) \pm \mathbf{x})^2.$$

Notice that  $\sigma(\mathbf{x}) \pm \mathbf{x} = (A \pm I_N)\mathbf{x}$ . Then, once again, by an argument identical to the proof of Lemma 2.3

$$(63) \quad H(\sigma(\mathbf{x}) \pm \mathbf{x}) \leq H(\mathbf{x}) H(A \pm I_N) \leq 2\sqrt{L} \left\{ N |\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(Z)^{\frac{L+2}{2L}} H(A \pm I_N),$$

where the last inequality follows by (47). Combining (63) with Lemma 5.4, we obtain

$$(64) \quad H(\sigma(\mathbf{x}) \pm \mathbf{x}) \leq 4\sqrt{L} \left\{ N |\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(Z)^{\frac{L+2}{2L}} H(A).$$

Combining (62) and (64), we obtain

$$(65) \quad H(\tau_{\sigma(\mathbf{x})\pm\mathbf{x}}) \leq 16LN^{\frac{L+8}{2}}(N+2)|\mathcal{D}_K|^{\frac{L+2}{2d}}H(F)H(Z)^{\frac{L+2}{L}}H(\sigma)^2,$$

hence  $\tau_{\sigma(\mathbf{x})\pm\mathbf{x}}$  satisfies (13). By combining (57), (58), (41), Lemma 5.6, (61), and (65), we have

$$(66) \quad H(\sigma') \leq 64L^2N^{L+8}(N+2)^2|\mathcal{D}_K|^{\frac{L+2}{d}}H(F)^2H(Z)^{\frac{2L+4}{L}}H(\sigma)^5.$$

By Lemma 2.2, Lemma 2.3, and (47)

$$(67) \quad H(\{\mathbf{x}\}^\perp) \leq H(F)H(\mathbf{x})H(Z) \leq 2\sqrt{L} \left\{ N|\mathcal{D}_K|^{\frac{1}{d}} \right\}^{\frac{L+2}{4}} H(F)H(Z)^{\frac{3L+2}{2L}}.$$

Then bound (13) follows upon combining (59) with (66) and (67) while keeping in mind that  $2 \leq L \leq N$  and  $N+2 \leq 2N$ . This completes the proof.

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