ON LATTICE ILLUMINATION OF SMOOTH CONVEX BODIES

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ABSTRACT. The illumination conjecture is a classical open problem in convex and discrete geometry, asserting that every compact convex body K in \mathbb{R}^n can be illuminated by a set of no more than 2^n points. If K has smooth boundary, it is known that n+1 points are necessary and sufficient. We consider an effective variant of the illumination problem for bodies with smooth boundary, where the illuminating set is restricted to points of a lattice and prove the existence of such a set close to K with an explicit bound on the maximal distance. We produce improved bounds on this distance for certain classes of lattices, exhibiting additional symmetry or near-orthogonality properties. Our approach is based on the geometry of numbers.

1. Introduction and statement of results

Let K be a compact convex body in \mathbb{R}^n , write ∂K for its boundary and $\operatorname{int}(K)$ for its interior. Let $x \in \mathbb{R}^n$ and $y \in \partial K$. We say that x illuminates y if the line segment connecting x and y does not intersect $\operatorname{int}(K)$ but the line containing this line segment intersects $\operatorname{int}(K)$. A collection of points $S \subset \mathbb{R}^n$ is said to illuminate K if every point $y \in \partial K$ is illuminated by some point $x \in S$. The illumination number of K is defined as

$$I(K) := \min\{|S| : S \text{ illuminates } K\},\$$

where |S| stands for the cardinality of the set S. The Illumination Conjecture then asserts that for any n-dimensional convex body K, $I(K) \leq 2^n$ with $I(K) = 2^n$ if and only if K is an affine image of an n-cube (see [2], [12] for more details). On the other hand, if K has smooth boundary (i.e. there is a unique support hyperplane at each point $\mathbf{y} \in \partial K$), then it is well known that I(K) = n + 1 (see [7]). In fact, it is not difficult to see that in this case K can be illuminated by vertices of a simplex containing K in its interior.

In this note, we are interested in an effective version of this illumination problem. Let us write \mathcal{K}_n for the set of all convex compact bodies with smooth boundary in \mathbb{R}^n and assume $K \in \mathcal{K}_n$. For a finite set S that illuminates K, define the illumination distance

$$d(S, K) := \max\{\|x - y\| : x \in S, y \in K\},\$$

where $\| \|$ stands for the Euclidean norm on \mathbb{R}^n . Define the diameter of K as

$$D(K) := \max\{\|x - y\| : x, y \in K\}.$$

Our first observation is that K can be illuminated by a set of cardinality n+1 with bounded illumination distance.

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Proposition 1.1. Let $K \in \mathcal{K}_n$, then for any $\varepsilon > 0$ there exists $S \subset \mathbb{R}^n$ with |S| = n + 1 that illuminates K so that

$$d(S,K) \le \sqrt{\frac{n(n+1)}{2}}D(K) + \varepsilon.$$

We prove this proposition in Section 2. We inscribe K into a ball and use Jung's inequality [9] to bound the radius of this ball in terms of the diameter of K. We then illuminate the ball by the set of vertices of a regular simplex and show that the same set illuminates K.

Now, let us consider a more delicate problem. Suppose $L \subset \mathbb{R}^n$ is a lattice of full rank. It is again not difficult to see that there exists a simplex with vertices on points of L that illuminate K. The main goal of this note is to prove the existence of a set $S \subset L$ illuminating K with bounded illumination distance. In what follows, we always identify any translated copy of K with K. Hence, when we say that some set S illuminates K, we mean that it illuminates some translated copy of K.

Theorem 1.2. Let $K \in \mathcal{K}_n$ and let $L \subset \mathbb{R}^n$ be a lattice of full rank. There exists $S \subset L$ with |S| = n + 1 that illuminates K so that

$$d(S,K) \le 2\sqrt{2}n\left(n + 2^{n-1}\right) \left(\frac{4}{3}\right)^{n(n-1)} D(K) \frac{\det(L)}{\|L\|^n}.$$

The set S in the statement of this theorem is the set of vertices of a lattice simplex containing K in its interior. To prove Theorem 1.2 in Section 3, we construct such a simplex using an isoperimetric inequality and techniques from the geometry of numbers. Most importantly, we express the upper bound on the illumination distance in terms of the orthogonality defect of the illuminating set, a notion we define in Section 3. We also explore our bound in more details for specific classes of lattices; all the notation discussed below is carefully reviewed in Section 3.

The bound of Theorem 1.2 involves a dimensional constant, the unavoidable dependence on the diameter of K and the dependence on the lattice L. We can avoid the dependence on L in the case of well-rounded lattices.

Corollary 1.3. With notation of Theorem 1.2, assume that the lattice L is well-rounded. Then

$$d(S,K) \leq \left(\frac{2^{\frac{2n+3}{2}}n\left(n+2^{n-1}\right)}{\omega_n}\right)D(K),$$

where ω_n is the volume of a unit ball in \mathbb{R}^n .

Corollary 1.3 suggests that a symmetric property like well-roundedness can potentially reduce illumination distance. Another property that can also be beneficial in this context is near-orthogonality.

Corollary 1.4. With notation of Theorem 1.2, assume that the lattice L is nearly orthogonal. Then

$$d(S,K) \le 2\sqrt{2}n\left(n + 2^{n-1}\right)\left(\frac{4}{3}\right)^{n-1}D(K)\frac{\det(L)}{\|L\|^n}.$$

If in addition L is well-rounded, then

$$d(S,K) \le 2\sqrt{2}n\left(n+2^{n-1}\right)\left(\frac{4}{3}\right)^{\frac{n-1}{2}}D(K).$$

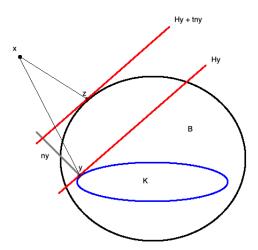


FIGURE 1. Illustration of the construction in the proof of Lemma 2.1.

Finally, if a lattice contains a finite-index orthogonal sublattice, we can obtain a bound with a smaller dimensional constant at the expense of a higher power on the determinant of this lattice.

Corollary 1.5. With notation of Theorem 1.2, assume that the lattice L is virtually rectangular. Then it is isometric to a lattice of the form $CB\mathbb{Z}^n$, where C is a nonsingular diagonal matrix and B is a nonsingular integer matrix with relatively prime entries in each row. In this case,

$$d(S,K) \le 2\sqrt{2}n \left(n + 2^{n-1}\right) D(K) \frac{\det(L)^n}{|\det(C)|^{n-1} ||L||^n}.$$

We are now ready to proceed.

2. Illumination distance

In this section, we prove Proposition 1.1. Let $K \in \mathcal{K}_n$. Our first observation asserts that, if a set S illuminates a ball containing K, then it illuminates K. While this is well understood, we include it here for the purposes of self-containment.

Lemma 2.1. Let B be any ball in \mathbb{R}^n so that $K \subseteq B$. Let $S \subset \mathbb{R}^n$ be a finite set illuminating B. Then S illuminates K.

Proof. Let $y \in \partial K$. We want to show that there exists some $x \in S$ such that x illuminates y. Let n_y be the outward-pointing unit normal vector to the support hyperplane H_y at y. Let \mathbb{H}^1_y and \mathbb{H}^2_y be two open half-spaces so that $\mathbb{R}^n = \mathbb{H}^1_y \sqcup H_y \sqcup \mathbb{H}^2_y$ and $K \subset \mathbb{H}^1_y$. Then some point $x \in \mathbb{R}^n$ illuminates y if and only if $x \in \mathbb{H}^2_y$. Let $t \in \mathbb{R}_{>0}$ be such that $H_y + tn_y$ is a support hyperplane to B at some point $z \in \partial B$ and let \mathbb{H}^1_z , \mathbb{H}^2_z be the corresponding open half-spaces, as above, so that $B \subset \mathbb{H}^1_z$. Then $\mathbb{H}^2_z \subset \mathbb{H}^2_y$. Since the set S illuminates B, there must be some point $x \in S \cap \mathbb{H}^2_z$ such that x illuminates z. But $x \in \mathbb{H}^2_y$ and so it illuminates y. This construction is illustrated by Figure 1.

Next, we can describe a class of sets illuminating a ball B in \mathbb{R}^n .

Lemma 2.2. Let P be a convex compact polytope in \mathbb{R}^n containing B in its interior. Then its set of vertices S illuminates B.

Proof. Let $\mathbf{y} \in \partial B$ and let $H_{\mathbf{y}}$ be the supporting hyperplane at \mathbf{y} . Let $\mathbb{H}^1_{\mathbf{y}}$ and $\mathbb{H}^2_{\mathbf{y}}$ be the two corresponding open half-spaces so that $B \subset \mathbb{H}^1_{\mathbf{y}}$. Since B is contained in the interior of P, $H_{\mathbf{y}}$ intersects the interior of P. Hence, at least one vertex \mathbf{v} of P is contained in $\mathbb{H}^2_{\mathbf{y}}$, and so it illuminates \mathbf{y} . Since this is true for any point in ∂B , the set of vertices of P illuminates B.

Lemma 2.3. Let B be a ball of radius R in \mathbb{R}^n . Then for every $\varepsilon > 0$ there exists a set S of n+1 points in \mathbb{R}^n illuminating B so that

$$d(S, B) < (n+1)R + \varepsilon$$
.

Proof. Let P_t be a regular simplex with side length t. Then its inradius is given by the formula

$$r(P_t) = \frac{t}{\sqrt{2n(n+1)}}$$

and its height is

$$h(P_t) = t\sqrt{\frac{n+1}{2n}}.$$

Notice that if $r(P_t) > R$, then P_t contains a copy of the ball B in its interior. Hence, Lemma 2.2 implies that the set S_t of n+1 vertices of P_t illuminates B. For this to hold, we need to have

$$t > R\sqrt{2n(n+1)}.$$

Notice that $h(P_t)$ is the maximal distance from a vertex of P_t to a point in B. Then let $\varepsilon > 0$ and take $t \leq R\sqrt{2n(n+1)} + \varepsilon\sqrt{\frac{2n}{n+1}}$, then for the corresponding set of vertices S_t of P_t , we have

$$d(S_t, B) = h(P_t) \le (n+1)R + \varepsilon.$$

Take S to be S_t for any such choice of t, and this completes the proof.

Proof of Proposition 1.1. Let $\mathbb{B}(K) \subset \mathbb{R}^n$ be the smallest ball containing K. By Jung's inequality (see [9], also [3] for a more contemporary account), the radius of $\mathbb{B}(K)$ is

(1)
$$R(K) \le \sqrt{\frac{n}{2(n+1)}}D(K).$$

By Lemma 2.1, any set S illuminating $\mathbb{B}(K)$ illuminates K. By Lemma 2.3, for any $\varepsilon > 0$ there exists such a set S with |S| = n + 1 and

$$d(S,\mathbb{B}(K)) \leq (n+1)R(K) + \varepsilon \sqrt{\frac{2(n+1)}{n}} \leq \sqrt{\frac{n(n+1)}{2}}D(K) + \varepsilon.$$

Since $K \subseteq B(K)$, $d(S, K) \le d(S, \mathbb{B}(K))$ and the result follows.

3. Lattice illumination

In this section we prove Theorem 1.2 and its corollaries. Let $L \subset \mathbb{R}^n$ be a lattice of full rank. Let $\mathcal{A} = \{a_1, \dots, a_n\}$ be a collection of linearly independent vectors in L, ordered so that

$$0 < \|\boldsymbol{a}_1\| \le \cdots \le \|\boldsymbol{a}_n\|,$$

and let us write $\|A\| = \|a_1\|$ for the minimal norm of these vectors. Let $A = (a_1 \dots ba_n)$ be the $n \times n$ nonsingular matrix with columns being the vectors of A and let $\Delta = |\det(A)|$. Define the orthogonality defect of A to be

(2)
$$\delta(\mathcal{A}) = \frac{\prod_{i=1}^{n} \|\boldsymbol{a}_i\|}{\Delta}.$$

By Hadamard's inequality, $\delta(A) \ge 1$ with equality if and only if the collection A is orthogonal.

Let t be a positive integer and let $S_{\mathcal{A}}(t)$ be the simplex whose vertices are $\mathbf{0}, t\mathbf{a}_1, \ldots, t\mathbf{a}_n \in L$. Write $\operatorname{Vol}_n(S(t))$ for the volume of $S_{\mathcal{A}}(t)$ and $\operatorname{Area}_{n-1}(S_{\mathcal{A}}(t))$ for its surface area. An isoperimetric inequality for r(t) (see, e.g., (9) of [8]), the inradius of t guarantees that

(3)
$$r(t) \ge \frac{\operatorname{Vol}_n(S_{\mathcal{A}}(t))}{\operatorname{Area}_{n-1}(S_{\mathcal{A}}(t))}.$$

Notice that

(4)
$$\operatorname{Vol}_n(S(t)) = \frac{|\det(tA)|}{n!} = \frac{t^n \Delta}{n!}.$$

For each $1 \leq j \leq n$, let us write F_j for the (n-1)-dimensional volume of the face of $S_{\mathcal{A}}(t)$ with vertices $\mathbf{0}$ and $t\mathbf{a}_i$ for all $i \neq j$. Since each such face is an (n-1)-dimensional simplex, the Hadamard inequality provides (5)

$$F_j \le \frac{1}{(n-1)!} \prod_{i=1, i \ne j}^n \|t \boldsymbol{a}_i\| = \frac{t^{n-1} \prod_{i=1}^n \|\boldsymbol{a}_i\|}{(n-1)! \|\boldsymbol{a}_j\|} \le \frac{t^{n-1} \prod_{i=1}^n \|\boldsymbol{a}_i\|}{(n-1)! \|\mathcal{A}\|} = \frac{t^{n-1} \delta(\mathcal{A}) \Delta}{(n-1)! \|\mathcal{A}\|}.$$

The only remaining face of $S_{\mathcal{A}}(t)$ is the (n-1)-dimensional simplex with vertices $t\mathbf{a}_i$ for all $1 \leq i \leq n$; we write F_{n+1} for its (n-1)-dimensional volume, then again by the Hadamard inequality

$$F_{n+1} \leq \frac{1}{(n-1)!} \prod_{i=2}^{n} \|t \boldsymbol{a}_{i} - t \boldsymbol{a}_{1}\| \leq \frac{t^{n-1}}{(n-1)!} \prod_{i=2}^{n} (\|\boldsymbol{a}_{i}\| + \|\boldsymbol{a}_{1}\|)$$

$$\leq \frac{(2t)^{n-1}}{(n-1)!} \prod_{i=2}^{n} \|\boldsymbol{a}_{i}\| = \frac{(2t)^{n-1} \delta(\mathcal{A}) \Delta}{(n-1)! \|\mathcal{A}\|}.$$

Combining (5) and (6), we obtain a bound

(7)
$$\operatorname{Area}_{n-1}(S_{\mathcal{A}}(t)) = \sum_{i=1}^{n+1} F_i \le \left(\frac{n+2^{n-1}}{(n-1)!}\right) \frac{t^{n-1}\delta(\mathcal{A})\Delta}{\|\mathcal{A}\|},$$

and combining this inequality with (4) and (3), we get

(8)
$$r(t) \ge \frac{t \|\mathcal{A}\|}{n(n+2^{n-1})\delta(\mathcal{A})}.$$

Now, let $K \in \mathcal{K}_n$ and $\mathbb{B}(K)$ be the smallest ball containing K. By Lemma 2.1, any set illuminating $\mathbb{B}(K)$ illuminates K. By Lemma 2.2, if $\mathbb{B}(K)$ is contained in the interior of $S_{\mathcal{A}}(t)$, then it is illuminated by the set of its vertices. In order for this to hold, we need to have the radius R(K) for $\mathbb{B}(K)$ to be smaller than r(t), the inradius of $S_{\mathcal{A}}(t)$. To ensure this, we can take

$$\frac{t\|\mathcal{A}\|}{n\left(n+2^{n-1}\right)\delta(\mathcal{A})} > R(K),$$

by (8), i.e., $t > n \left(n + 2^{n-1}\right) \frac{R(K)\delta(A)}{\|A\|}$. For instance, we can take

(9)
$$t_* = \left[n \left(n + 2^{n-1} \right) \frac{R(K)\delta(\mathcal{A})}{\|\mathcal{A}\|} + 1 \right],$$

where [] stands for the integer part and write $D(S_A(t_*))$ for the diameter of $S_A(t_*)$, which is given by

$$D(S_{\mathcal{A}}(t_*)) = \max \{ \| \boldsymbol{x} - \boldsymbol{y} \| : \boldsymbol{x}, \boldsymbol{y} \in S_{\mathcal{A}}(t_*) \}$$

= \max \{ \| t_* \mathbf{a}_i - t_* \mathbf{a}_i \| : \mathbf{a}_i, \mathbf{a}_i \in \mathbf{A} \} \leq 2t_* \| \mathbf{a}_n \|,

since $\|a_i - a_j\| \le \|a_i\| + \|a_j\| \le 2\|a_n\|$. Further,

$$\|\boldsymbol{a}_n\| = \frac{\delta(\mathcal{A})\Delta}{\prod_{i=1}^{n-1} \|\boldsymbol{a}_i\|} \le \frac{\delta(\mathcal{A})\Delta}{\|\mathcal{A}\|^{n-1}}.$$

Now take S to be the set of vertices of $S_L(t_*)$ and observe that

$$d(S, K) \le d(S, \mathbb{B}(K)) \le D(S_{\mathcal{A}}(t_*)) \le 2t_* \frac{\delta(\mathcal{A})\Delta}{\|\mathcal{A}\|^{n-1}}.$$

Combining this bound with (9) and Jung's inequality (1), we get

$$d(S,K) \leq 2 \left[n \left(n + 2^{n-1} \right) \frac{\sqrt{\frac{n}{2(n+1)}} D(K) \delta(\mathcal{A})}{\|\mathcal{A}\|} + 1 \right] \frac{\delta(\mathcal{A}) \Delta}{\|\mathcal{A}\|^{n-1}}$$

$$\leq 2\sqrt{2} n \left(n + 2^{n-1} \right) D(K) \frac{\delta(\mathcal{A})^2 \Delta}{\|\mathcal{A}\|^n}.$$
(10)

Notice that in this bound D(K) is certainly unavoidable, $2\sqrt{2}n\left(n+2^{n-1}\right)$ is the dimensional constant which we estimated somewhat crudely, and $\frac{\delta(\mathcal{A})^2\Delta}{\|\mathcal{A}\|^n}$ is the quantity that we can attempt to minimize by an appropriate choice of the set \mathcal{A} .

Proof of Theorem 1.2. First notice that we can produce a bound dependent only on L, not on the choice of the set \mathcal{A} . Indeed, let \mathcal{A} be an HKZ-reduced basis for L, then Hermite's inequality (see, e.g., [10], Section 2.2) guarantees that

(11)
$$\delta(\mathcal{A}) \le \left(\frac{4}{3}\right)^{\frac{n(n-1)}{2}},$$

although better, albeit more complicated, bounds are known (see [11]). Since \mathcal{A} is a basis for L, $\Delta = \det(L)$. Further,

$$\|A\| = \|L\| := \min\{\|x\| : x \in L \setminus \{0\}\},\$$

¹Hermite-Korkin-Zolotarev reduction, see Section 2.9 of [10]

the minimal norm of L. Then replacing $\delta(A)$ with the bound (11) in (10), we obtain

(12)
$$d(S,K) \le 2\sqrt{2}n\left(n + 2^{n-1}\right)\left(\frac{4}{3}\right)^{n(n-1)}D(K)\frac{\det(L)}{\|L\|^n}.$$

We can do better for some special classes of lattices. We need some more notation. Given a full-rank lattice $L \subset \mathbb{R}^n$, let us write

$$\Sigma(L) = \{ x \in L : ||x|| = ||L|| \}$$

for its set of minimal vectors. L is called well-rounded (WR) if $\Sigma(L)$ contains n linearly independent vectors.

Proof of Corollary 1.3. If L is WR, we can take \mathcal{A} to be a set of linearly independent vectors contained in $\Sigma(L)$. Then

$$\frac{\Delta}{\|\mathcal{A}\|^n} = \frac{1}{\delta(\mathcal{A})},$$

and Minkowski's Successive Minima Theorem (see, for instance, [6, Section 9.1, Theorem 1]) gives a bound

$$\delta(\mathcal{A}) \le \frac{2^n}{\omega_n}.$$

Combining this observation with (10), we obtain

(13)
$$d(S,K) \le \left(\frac{2^{\frac{2n+3}{2}}n(n+2^{n-1})}{\omega_n}\right)D(K).$$

On the other hand, a lattice L is called orthogonal if it possesses an orthogonal basis. More generally, let $\mathcal{A} = \{a_1, \dots, a_n\}$ be an ordered basis for the lattice L, and define a sequence of angles $\theta_1, \dots, \theta_{n-1}$ as follows: each θ_i is the angle between a_{i+1} and the subspace $\operatorname{span}_{\mathbb{R}}\{a_1, \dots, a_i\}$. It is then clear that each $\theta_i \in (0, \pi/2]$. If for each $1 \leq i \leq n-1$, $\theta_i \in (0, \theta]$ for some fixed $\theta \in (0, \pi/3]$ then L is called (weakly) θ -orthogonal (L is θ -orthogonal if the above condition holds for every reordering of the basis \mathcal{A}); we also refer to such lattices as nearly orthogonal for all $\theta \in [\pi/3, \pi/2]$. Nearly orthogonal lattices have been studied in [1] and [5].

Proof of Corollary 1.4. Suppose L is nearly orthogonal, then

$$\det(L) = \left(\prod_{i=1}^n \|\boldsymbol{a}_i\|\right) \left(\prod_{i=1}^{n-1} \sin \theta_i\right) \ge \left(\prod_{i=1}^n \|\boldsymbol{a}_i\|\right) (\sin \theta)^{n-1},$$

meaning that

$$\delta(\mathcal{A}) \le \frac{1}{(\sin \theta)^{n-1}} \le \left(\frac{2}{\sqrt{3}}\right)^{n-1} = \left(\frac{4}{3}\right)^{\frac{n-1}{2}}.$$

Hence, for nearly orthogonal lattice L with this choice of A, (10) gives

$$d(S,K) \le 2\sqrt{2}n\left(n + 2^{n-1}\right) \left(\frac{4}{3}\right)^{n-1} D(K) \frac{\det(L)}{\|L\|^n}.$$

Suppose that L is nearly orthogonal and WR. Then Theorem 1.1 of [5] guarantees that the nearly orthogonal basis consists of minimal vectors, and so

$$d(S,K) \le 2\sqrt{2}n\left(n+2^{n-1}\right)\left(\frac{4}{3}\right)^{\frac{n-1}{2}}D(K).$$

Two full-rank lattices $L, M \subset \mathbb{R}^n$ are called *isometric* if M = UL for an $n \times n$ orthogonal matrix U. If a lattice L contains a finite-index orthogonal sublattice, we call L virtually rectangular. Virtually rectangular lattices were studied in [4].

Proof of Corollary 1.5. Theorem 1.2 of [4] guarantees that L is virtually rectangular if and only if it is isometric to a lattice of the form $CB\mathbb{Z}^n$, where

$$C = \begin{pmatrix} c_1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & c_n \end{pmatrix}$$

with nonzero $c_1, \ldots, c_n \in \mathbb{R}$ and B a nonsingular integer matrix with relatively prime rows. Then

$$L = UCL'$$
.

where U is an $n \times n$ orthogonal matrix and $L' = B\mathbb{Z}^n \subseteq \mathbb{Z}^n$. Write $c = c_1 \cdots c_n$, then Theorem 1.3 of [4] guarantees that there exists an orthogonal sublattice $\Lambda \subseteq L$ with

$$[L:\Lambda] = \left(\frac{\det(L)}{|c|}\right)^{n-1} = \left(\det(L')\right)^{n-1}.$$

Then

$$\det(\Lambda) = [L:\Lambda] \det(L) = \frac{\det(L)^n}{|c|^{n-1}}$$

and $\|\Lambda\| \ge \|L\|$. Take \mathcal{A} be an orthogonal basis for Λ , then $\delta(\mathcal{A}) = 1$ and (10) becomes

$$d(S,K) \le 2\sqrt{2}n\left(n + 2^{n-1}\right)D(K)\frac{\det(L)^n}{|c|^{n-1}||L||^n}.$$

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