

2/24/09 Math 188

Recall: Baire Category Thm:

$X$  a complete Metric Space.

a) if  $U_n$  is a sequence of dense open subsets of  $X$ , then  $\bigcap_{n=1}^{\infty} U_n$  is dense in  $X$ .

b)  $X$  is not a countable union of nowhere dense sets.

(  $E$  is nowhere dense if  $(\bar{E})^{\circ} = \emptyset$  ).

Pf: b) if  $\{E_n\}$  is a sequence of nowhere dense sets then

each  $(\bar{E}_n)^{\circ}$  is dense and open

so by a)  $\bigcap_{n=1}^{\infty} (\bar{E}_n)^{\circ}$  is dense in  $X$ .

$\therefore (\bigcup_{n=1}^{\infty} \bar{E}_n)^{\circ}$  is non-empty

$\therefore (\bigcup_{n=1}^{\infty} E_n)^{\circ}$  is non-empty.

$\therefore \bigcup_{n=1}^{\infty} E_n \neq X$ .

Def:  $(X, \mathcal{T}_X)$   $(Y, \mathcal{T}_Y)$  top spaces.

$f: X \rightarrow Y$  is open (an open mapping)

iff  $f(U) \in \mathcal{T}_Y \quad \forall U \in \mathcal{T}_X$ .

For metric spaces  $X, Y$  this means.

~~$f(B)$~~  if  $B$  is a ball in  $X$   
centered at  $x$  then  $f(B)$  contains a ball in  $Y$   
centered at  $f(x)$ .

If  $X, Y$  are N.L.S. then  
a linear map  $f$  is open iff  $f(B)$  contains  
a ball centered at  $0 \in Y$  when  
 $B = \{x \in X: \|x\| < 1\}$ .

Thm: (Open Mapping Theorem)

$X, Y$  Banach Spaces

$T \in \mathcal{L}(X, Y)$  surjective  
 $\Rightarrow T$  is open.

Pf: Let  $B_r = \{x \in X : \|x\| < r\}$ .

It suffices to show that

$T(B_1)$  contains a ball centered  
at  $0 \in Y$ .

Since  $T$  is surjective

$$Y = \bigcup_{n=1}^{\infty} T(B_n).$$

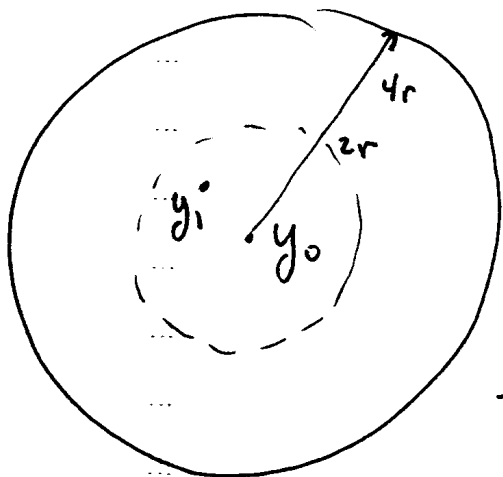
Since  $Y$  is complete  $T(B_m)$  is not  
nowhere dense for some  $m$

$$\text{but } T(B_m) = m(T(B_1)).$$

So  $T(B_1)$  is not nowhere dense.

$\exists y_0 \in Y$  and  $r > 0$  s.t.

$$B(4r, y_0) \subset \overline{T(B_1)}$$



Choose  $y_1 \in T(B_1)$  s.t.

$$y_1 = Tx_1 \quad \text{has} \quad \|y_1 - y_0\| < 2r.$$

$(x_1 \in B_1).$

$$\begin{aligned} \text{Then } B(y_1, 2r) &\subset B(y_0, 4r) \\ &\subset \overline{T(B_1)} \end{aligned}$$

If  $\|y\| < 2r$  then

$$y_1 + y \in B(y_1, 2r) \subset \overline{T(B_1)}$$

so

$$y = -Tx_1 + (y_1 + y) \in \overline{T(-x_1 + B_1)} \subset \overline{T(B_2)}$$

$$\text{and } y/2 \in \overline{T(B_1)}.$$

$$\text{So } \|y\| < r \Rightarrow y \in \overline{T(B_1)}$$

and by linearity

$$\|y\| < r \cdot 2^{-n} \Rightarrow y \in \overline{T(B_{2^{-n}})}$$

So we have shown (e.g.) that.

$$\text{if } \|y\| < \frac{r}{2}$$

$$\exists x_1 \in B_{1/2} \quad \text{s.t.}$$

$$\|y - Tx_1\| < r/4.$$

likewise

$$\exists x_2 \in B_{1/4} \quad \text{s.t.}$$

$$\|y - Tx_1 - Tx_2\| < r/8.$$

and continuing by induction

$$\exists x_n \in B_{2^{-n}} \quad \text{s.t.}$$

$$\|y - \sum_{j=1}^n Tx_j\| < \frac{r}{2^{n+1}}.$$

Since  $X$  is complete and  $\|x_n\| < 2^{-n}$

$\sum_{n=1}^{\infty} x_n$  converges (say) to  $x$ .

$$\text{and } \|x\| \leq \sum_{n=1}^{\infty} \|x_n\| < \sum_{n=1}^{\infty} 2^{-n} = 1.$$

$$\text{Now, } y = Tx.$$

$$\text{So } \|y\| < r/2 \Rightarrow y \in T(B_1) \quad \square$$

Corollary:  $X, Y$  Banach Spaces.

$T \in \mathcal{L}(X, Y)$  Bijective.

then  $T$  is an isomorphism.

i.e.  $T^{-1} \in \mathcal{L}(Y, X)$ .

Pf:  $T$  is surjective  $\therefore$  open.

Since  $T$  is injective  $T^{-1}$  exists and

Since  $T(U)$  is open in  $Y \forall U \text{ open in } X$

$T^{-1}(U)$   $T^{-1}$  is continuous.

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Thm: (Closed Graph Theorem).

If  $X, Y$  are Banach Spaces

and  $T: X \rightarrow Y$  is a linear map  
s.t

$\Gamma(T) = \{ (x, Tx) : x \in X \}$  is a

closed subspace of  $X \times Y$   
(product topology)

then  $T$  is bounded.

Pf. Let  $\pi_1(x, Tx) = x$ .

$$\pi_2(x, Tx) = Tx.$$

Since  $X, Y$  are complete so is  $X \times Y$   
and  $\therefore P(T)$  (being closed)  
is also complete:

$$\pi_1 \in \mathcal{L}(P(T), X)$$

$$\pi_2 \in \mathcal{L}(P(T), Y).$$

e.g.  
 $\| (x, Tx) \|$   
 $= \max(\|x\|, \|Tx\|)$   
 $\| \pi_1(x, Tx) \| = \|x\| \leq \| (x, Tx) \|$

$\pi_1$  is a Bijection from  $P(T)$  to  $X$ .

So  $\pi_1^{-1}$  is bounded. (by Previous Corollary).

$\therefore T = \pi_2 \circ \pi_1^{-1}$  is bounded.

The closed Graph Thm says that  
if a linear  $T$  has a closed graph  
( $x_n \rightarrow x$  and  $Tx_n \rightarrow y \Rightarrow y = Tx$ )

then  $T$  is continuous.

$$(x_n \rightarrow x \Rightarrow Tx_n \rightarrow Tx)$$

So to verify that  $Tx_n \rightarrow Tx$   
when  $x_n \rightarrow x$

we may assume that  $Tx_n$   
converges (to  $y$  say) and  
we just need to show that  $y = Tx$ .