

Uniformity of the Möbius function in $\mathbf{F}_q[t]$

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The Möbius randomness principle

Recall

$$\mu(n) = \begin{cases} (-1)^k & \text{if } n = p_1 p_2 \cdots p_k \text{ is a product of } k \text{ distinct primes,} \\ 0 & \text{if } n \text{ is not squarefree.} \end{cases}$$

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Thus the sequence $\{\mu(n)\}$ is $1, -1, -1, 0, -1, 1, \dots$

The **Möbius randomness principle** states that μ is random-like, i.e. for any bounded, “simple” or “structured” function F , we have

$$\sum_{n=1}^N \mu(n)F(n) = o(N).$$



Examples:

- 1 If $F(n) = 1$, then PNT is equivalent to $\sum_{n=1}^N \mu(n) = o(N)$ and RH is equivalent to

$$\sum_{n=1}^N \mu(n) = O_{\epsilon} \left(N^{1/2+\epsilon} \right)$$

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- 2 If $F(n)$ is periodic with period q , then $\sum_{n=1}^N \mu(n)F(n) = o(N)$ is equivalent to PNT in arithmetic progressions.



- ③ Sarnak's conjecture: If (X, T) is a dynamical system (i.e. X is a compact metric space, $T : X \rightarrow X$ is continuous) with topological entropy zero, then for any $x \in X$ and $f \in C(X)$,

$$\sum_{n=1}^N \mu(n) f(T^n x) = o(N).$$



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- ⑤ (Dartyge-Tenenbaum 2005) If $s(n)$ is the sum of digits of n in base q , then for any $\alpha \in \mathbf{R}/\mathbf{Z}$,

$$\sum_{n=1}^N \mu(n) e(s(n)\alpha) = o(N).$$

Here $e(x) = e^{2\pi i x}$.



Exponential sums

Davenport (1937): for any $A > 0$,

$$\sum_{n=1}^N \mu(n) e(n\alpha) \ll_A \frac{N}{\log^A N}$$

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Baker-Harman (1991), Montgomery-Vaughan (unpublished):
Assuming GRH, we have

$$\sum_{n=1}^N \mu(n) e(n\alpha) \ll_{\epsilon} N^{3/4+\epsilon}$$

uniformly in $\alpha \in \mathbf{R}/\mathbf{Z}$, for any $\epsilon > 0$.



Since

$$\int_0^1 \left| \sum_{n=1}^N \mu(n) e(n\alpha) \right|^2 = \sum_{n=1}^N |\mu(n)|^2 \gg N,$$

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Nilsequences include $F(n) = e(\alpha n^2 + \beta n)$ and $F(n) = e(\lfloor n\alpha \rfloor \beta n)$.



Function field analogy

Let \mathbf{F}_q be the finite field on q elements. $\mathbf{F}_q[t]$ is similar to \mathbf{Z} in many aspects (both are UFDs).



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$$\mu(f) = \begin{cases} (-1)^k & \text{if } f = cP_1P_2 \cdots P_k, P_i \text{ distinct monic irreducibles,} \\ & c \in \mathbf{F}_q^\times, \\ 0 & \text{if } f \text{ is not squarefree.} \end{cases}$$



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Furthermore, GRH is true in $\mathbf{F}_q[t]$ (Weil 1948).



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Define $|f/g| = q^{\deg f - \deg g}$. The completion of $\mathbf{F}_q(t)$ with respect to $|\cdot|$ is

$$\mathbf{F}_q\left(\left(\left(\frac{1}{t}\right)\right)\right) = \left\{ \alpha = \sum_{i=-\infty}^n a_i t^i : n \in \mathbf{Z}, a_i \in \mathbf{F}_q \text{ for every } i \right\},$$

the set of formal Laurent series in $\frac{1}{t}$. This is the analog of \mathbf{R} .



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Define $\mathbf{T} = \{\alpha \in \mathbf{F}_q\left(\left(\left(\frac{1}{t}\right)\right)\right) : |\alpha| < 1\}$. This is the analog of \mathbf{R}/\mathbf{Z} .



Fix $e_q : \mathbf{F}_q \rightarrow \{z \in \mathbf{C} : |z| = 1\}$ to be an additive character of \mathbf{F}_q .



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Thus $e : \mathbf{F}_q((\frac{1}{t})) \rightarrow \{z \in \mathbf{C} : |z| = 1\}$ is an additive character of $\mathbf{F}_q((\frac{1}{t}))$.



Problems we want to solve

Problem 1. Let $k \geq 1$ and $\Phi(x) = \alpha_k x^k + \alpha_{k-1} x^{k-1} + \cdots + \alpha_1 x$ be a polynomial in $\mathbf{F}_q((\frac{1}{t}))[x]$. Show that

$$\sum_{\deg f < n} \mu(f) e(\Phi(f)) = o(q^n)$$

uniformly in Φ of degree k . Better yet, (since we have GRH) obtain a bound $O(q^{c_k n})$ for some constant $c_k < 1$.



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There are differences between this and the integer case. In fact, when $k \geq p = \text{char}(\mathbf{F}_q)$, even the Weyl sum $\sum_{\deg f < n} e(\Phi(f))$ is not well understood, since the Weyl differencing process breaks down ($k! = 0$).



Problem 2. Let $k \geq 1$ and $Q \in \mathbf{F}_q[x_0, x_1, \dots, x_{n-1}]$ be a polynomial of degree k . Show that

$$\sum_{\deg f < n} \mu(f) e_q(Q(f)) = o(q^n)$$

uniformly in Q of degree k . Here $Q(f)$ is Q evaluated at the coefficients of f . Better yet, (since we have GRH) obtain a bound $O(q^{c_k n})$ for some constant $c_k < 1$.



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Problem 2 is more general than Problem 1 since for any polynomial $\Phi(x)$, $(\Phi(f))_{-1}$ is a polynomial in the coefficients of f (recall that $e(\alpha) = e_q((\alpha)_{-1})$).



The linear case

When $k = 1$ then Problem 1 and Problem 2 are the same. This is because for any linear form $\ell \in \mathbf{F}_q[x_0, \dots, x_{n-1}]$, there is $\alpha \in \mathbf{T}$ such that $\ell(f) = (\alpha f)_{-1}$ for any $\deg f < n$.



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Theorem

For any $\epsilon > 0$, we have

$$\sum_{\deg f < n} \mu(f) e(\alpha f) \ll_{\epsilon, q} q^{(3/4 + \epsilon)n}$$

uniformly in $\alpha \in \mathbf{T}$.



Recall Baker-Harman and Montgomery-Vaughan's bound in \mathbf{Z} (under GRH)

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Our argument is different from the proof in \mathbf{Z} in some respects. This is because the topologies of \mathbf{T} and \mathbf{R}/\mathbf{Z} are different and there is no summation by parts in $\mathbf{F}_q[t]$.



We use Hayes' L -functions of *arithmetically distributed relations*, as opposed to Dirichlet L -functions. Let M be the set of monic polynomials in $\mathbf{F}_q[t]$. Define an equivalence relation $f \equiv g \pmod{R_{l,Q}}$ on M as follows

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Then $M/R_{l,Q}$ is a semigroup, $G_{l,Q} := (M/R_{l,Q})^\times$ is a group. If λ is a nontrivial character of $G_{l,Q}$, then

$$L(s, \lambda) = \sum_{f \in M} \frac{\lambda(f)}{|f|^s}$$

satisfies GRH (Rhin 1972). (If $l = 0$ then λ is a Dirichlet character.)



Theorem

Assuming a quantitative form of the bilinear Bogolyubov theorem and $p > 2$. Then for any $A > 0$ and quadratic polynomial Q in $\mathbf{F}_q[X_0, \dots, X_{n-1}]$,

$$\sum_{\deg f < n} \mu(f) e(Q(f)) \ll_{q,A} q^n n^{-A}$$

uniformly in Q .



Theorem

There is a constant $c < 1$ such that

$$\sum_{\deg f < n} \mu(f) e(\alpha f^2 + \beta f) \ll_q q^{cn}$$

uniformly in $\alpha, \beta \in \mathbf{T}$.



The classical circle method deals with sums like $\sum_{\deg f < n} \mu(f) e(\alpha f)$ or $\sum_{\deg f < n} \mu(f) e(\alpha f^2 + \beta f)$. To estimate such sums we need to distinguish two cases.

- α is close to a rational with small denominator (α is in the *major arcs*): use our understanding of the distribution of irreducibles in congruence classes, i.e. L -functions.



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- α is close to a rational with small denominator (α is in the *major arcs*): use our understanding of the distribution of irreducibles in congruence classes, i.e. L -functions.
- α is not in the major arcs (α is in the *minor arcs*): use Vaughan's identity to decompose the sum into Vinogradov's Type I/Type II sums.



In the case of $\sum_{\deg f < n} \mu(f) e_q(\Phi(f))$, where $\Phi(x) = x^T Mx$ and M is a symmetric matrix, the major arcs and minor arcs correspond to low rank and high rank matrices M . This is because

$$\left| \sum_{x \in \mathbf{F}_q^n} e_q(x^T Mx) \right| \leq q^{n - \text{rank}(M)/2}.$$



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The low rank can be easily reduced to the linear case.

Suppose for a contradiction that $\sum_{\deg f < n} \mu(f) e_q(\Phi(f)) \geq \delta q^n$. We want to show that $\text{rank}(M)$ is small.



After using Vaughan's identity, Vinogradov's Type I/Type II sums, Cauchy-Schwarz and some combinatorial reasoning, we find that for some $n \ll k \leq n$, the set of pairs

$$P_h := \{(a, b) : \deg a, \deg b \in G_{k+1} \times G_{k+1} : \text{rank } M_{a,b} \leq h\}$$

is large (has size $(\delta/n)^{O(1)} q^{2k+2}$) for some $h = O(\log(n/\delta))$.



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Here $G_m = \{f : \deg f < m\}$,

$$M_{a,b} = L_a^T M L_b + L_b^T M L_a$$

and L_a is the matrix of the map $G_{n-k} \rightarrow G_n, f \mapsto af$.



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If $(a, b), (a', b) \in P_h$, then $(a - a', b) \in P_{2h}$ since $M_{a-a',b} = M_{a,b} - M_{a',b}$. Similarly for the second coordinate.



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By repeatedly “smoothing” in each coordinate, we find that P_{64h} contains an interesting structure.



Theorem (Bilinear Bogolyubov Theorem)

If $|P_h| \geq \eta q^{2(k+1)}$, then there exist \mathbf{F}_p -subspaces W_1, W_2 of the \mathbf{F}_p -vector space G_{k+1} of codimension r_1, r_2 and \mathbf{F}_p -bilinear forms Q_1, \dots, Q_r on $W_1 \times W_2$ such that

$$P_{64h} \supset \{(x, y) \in W_1 \times W_2 \mid Q_1(x, y) = \dots = Q_r(x, y) = 0\}$$

and $\max(r, r_1, r_2) \leq c(\eta)$.



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We can take $c(\eta) = O(\exp(\exp(\exp(\log^{O(1)} \eta^{-1}))))$. This is too large to be useful. If we can take $c(\eta) = \log^{O(1)} \eta^{-1}$ then in our application we can take $\delta = n^{-A}$ and this implies that M has small rank.

